

10-16-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ==> ==> ==> ▼ ULTEC.57706▼



To the Honorable Commissioner of Patent

101875417

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Joseph I. Rubin

10-921

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 13, 2001

2. Name and address of receiving party(ies):

Name: Ultra Tec Manufacturing, Inc.

Internal Address: _____

Street Address: 1025 E. Chestnut Ave.

City: Santa Ana State: CA ZIP: 92701-6425

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/907,354

Filing Date: 07/17/2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gunther Hanke

Internal Address: Fulwider Patton Lee & Utecht, LLP

Street Address: 200 Oceangate, Suite 1550

City: Long Beach State: CA ZIP: 90802

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gunther Hanke

Name of Person Signing

Signature

Date

9/26/01

Total number of pages including cover sheet, attachments, and document: 5

10/15/2001 BY: 00000146 09907354

01 FC:581

40.00 OP

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012237 FRAME: 0788

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Joseph I. Rubin
521 Lamont Dr.
Monterey Park, CA 91755
Nationality: U.S.A.

hereby sells, assigns and transfers to

ASSIGNEE:

Ultra Tec Manufacturing, Inc.
1025 E. Chestnut Ave.
Santa Ana, CA 92701-6425
State or Country of Formation: California

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

SAMPLE PREPARATION APPARATUS AND METHOD

and which is found in (37 C.F.R. Section 3.21) U.S. application no. 09/907,354 filed on July 17, 2001 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue


(Assignment of Invention--page 1 of 2)

and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this _____ day of

_____.

Date: 9/13/01



Signature of Joseph I. Rubin

(Assignment of Invention--page 2 of 2)