

Form <b>PTO-1595</b> (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Tab Settings					
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Richard W. Moyer Yi Li Alison Louise Bawden  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies)  Name: <u>University of Florida</u>  Internal Address: _____  _____  Street Address: <u>223 Grinter Hall</u>  _____  City: <u>Gainesville</u> State: <u>FL</u> Zip: <u>32611</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____  Execution Date: <u>11/28/01; 12/03/01; 11/27/01; respectively</u>					
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is: _____  A. Patent Application No.(s) <u>09/662,254</u> B. Patent No.(s) _____  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: <u>Glenn P. Ladwig</u>  Internal Address: <u>Saliwanchik, Lloyd &amp; Saliwanchik</u> <u>A Professional Association</u>  Street Address: <u>2421 N.W. 41<sup>st</sup> Street, Suite A-1</u>  City: <u>Gainesville</u> State: <u>FL</u> Zip: <u>32606</u>			6. Total number of applications and patents involved: <u>1</u>  7. Total fee (37 CFR 3.41) ..... \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account  8. Deposit account number:  <u>19-0065</u>  (Attach duplicate copy of this page if paying by deposit account)		
<b>DO NOT USE THIS SPACE</b>					
9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  <u>Glenn P. Ladwig</u> <u></u> <u>December 14, 2001</u> Name of Person Signing Signature Date  Total number of pages including cover sheet, attachments, and documents: <u>5</u> Atty Docket No. <u>UF-221C1XC1</u>					

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Docket No. UF-221C1XC1

## ASSIGNMENT

WHEREAS, we, the undersigned, residing at the indicated addresses given below, respectively, have invented certain new and useful improvements in **MATERIALS AND METHODS FOR DELIVERY AND EXPRESSION OF HETEROLOGOUS DNA IN VERTEBRATE CELLS**, for which an application for United States Letters Patent was

☐ signed by us as dated below.

☒ filed **September 14, 2000**, as Serial No. 09/662,254.

WHEREAS, the UNIVERSITY OF FLORIDA, existing by virtue of the laws of the State of Florida, and having an office at 223 Grinter Hall, Gainesville, Florida 32611, is desirous of acquiring the entire right, title, and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

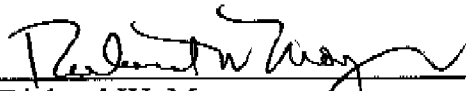
NOW, THEREFORE, in view of our prior employment with the UNIVERSITY OF FLORIDA, and other valuable consideration, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto said UNIVERSITY OF FLORIDA, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said UNIVERSITY OF FLORIDA, as the assignee of the entire right, title, and interest in and to the same, for the sole use and behoof of said UNIVERSITY OF FLORIDA, its successors and assigns.

FURTHER, we agree that we will communicate to said UNIVERSITY OF FLORIDA, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said UNIVERSITY OF FLORIDA; make all rightful oaths; and generally do everything possible to aid the said UNIVERSITY OF FLORIDA, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.


Docket No. UF-221C1XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28 day of November, 2001.

Signed   
Dr. Richard W. Moyer  
Address: 1225 N.W. 23rd Terrace  
Gainesville, FL 32605

State of Florida )  
 )  
County of Alachua )

On this 28<sup>th</sup> day of November, 2001, personally appeared before me the above-named DR. RICHARD W. MOYER, to me known to be the person described in the foregoing instrument, who executed the foregoing instrument, each for himself, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

  
Notary Public

My Commission Expires:


SEAL



Felicia L. Salyers  
MY COMMISSION # CC941862 EXPIRES  
June 4, 2004  
BONDED THRU TROY FAIN INSURANCE, INC.

Docket No. UF-221C1XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this 3 day of December, 2001.

Signed 

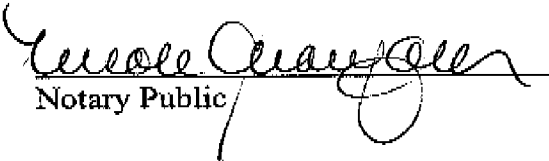
Dr. Yi Li

Address: 156, Rue de l'aubade

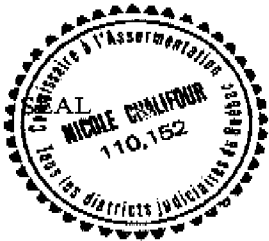
Laval, Quebec H7W 4X5

Canada

On this 3 day of December, 2001, personally appeared before me the above-named DR. YI LI, to me known to be the person described in the foregoing instrument, who executed the foregoing instrument, each for himself, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

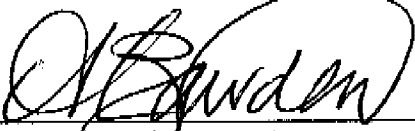


Notary Public



Docket No. UF-221C1XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of November 2001.

Signed 

Dr. Alison Louise Bawden

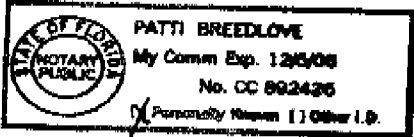
Address: 4362 SW 20th Lane

Gainesville, FL 32607

State of Florida )

County of Alachua )

On this 27 day of November, 2001, personally appeared before me the above-named DR. ALISON L. BAWDEN, to me known to be the person described in the foregoing instrument, who executed the foregoing instrument, each for himself, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.





Notary Public

My Commission Expires:

SEAL