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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Daniel Brushwood

10/09/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 28, 2001

## 2. Name and address of receiving party(ies)

Name: Union Switch &amp; Signal, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1000 Technology Drive

City: Pittsburgh State: PA Zip: 15219-3120

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/09/2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brij K. Agarwal

Internal Address: \_\_\_\_\_

Eckert Seamans Cherin &amp; Mellott, LLC

Street Address: 600 Grant Street, 44th Floor

City: Pittsburgh State: PA Zip: 15219

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Brij K. Agarwal

Name of Person Signing

Brij K. Agarwal  
SignatureOCT. 9, 2001  
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

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 PATENT  
 REEL: 012240 FRAME: 0153

# ASSIGNMENT

WHEREAS, **DANIEL BRUSHWOOD**, residing at Route 4, P.O. Box 394, Saluda, SC 29138, has invented certain new and useful improvements in ERGONOMIC HAND THROW ARM FOR RAILROAD SWITCH MECHANISM, and

WHEREAS UNION SWITCH & SIGNAL, INC  
1000 Technology Drive  
Pittsburgh, PA 15219-3120

hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to myself by said assignee, receipt whereof I hereby acknowledge, I do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by myself had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. I covenant that I am the lawful owner of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that I have the full right to make this assignment.

And for the consideration aforesaid, I agree that I will communicate to said assignee or the representatives thereof any facts known to myself respecting said inventions and improvements, and will, upon request, but without expense to myself, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon my heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

EXECUTED 9-28-01, 2001.

  
\_\_\_\_\_  
DANIEL BRUSHWOOD

Commonwealth of S.C. :  
: SS:  
County of Lexington :

Before me, a Notary Public in and for the said County and State, personally appeared DANIEL BRUSHWOOD, who acknowledged that he is the person who executed the foregoing assignment and acknowledged it to be his free and voluntary act and deed.

Witness my hand and notarial seal this 28<sup>th</sup> day of September, 2001.

(Notarial Seal)

Notary Public



**My Commission Expires  
August 10, 2008**