

10-16-2001



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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Tab settings ⇒ ⇒ ⇒ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Hilary S. Lackritz Name: <u>Gemfire Corporation</u> Tony C. Kowalczyk Yeong-Cheng Lee Internal Address: ___ David A.G. Deacon Additional name(s) of conveying party(ies) attached? The Yes I No 3. Nature of conveyance: Assignment ☐ Merger Street Address: 2471 East Bayshore Road Security Agreement Change of Name Other State: CA Palo Alto August 10, 2001; August 22, 2001; and Execution Date: ___September 25, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:_____ A. Patent Application No.(s) B. Patent No.(s) 09/828,278 Additional numbers attached? Yes No 6. Total number of applications and patents involved: \Box 5. Name and address of party to whom correspondence concerning document should be mailed: Charles B. Katz 7. Total fee (37 CFR 3.41).....\$___ Name: Enclosed Gemfire Corporation Internal Address: Authorized to be charged to deposit account 8. Deposit account number: Street Address: 2471 East Bayshore Road (Attach duplicate copy of this page if paying by deposit account) City: Palo Alto State: CA Zip: 94303 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charles B. Katz, Reg. No. 36,564 Name of Person Signing Total number of pages including cover sheet, attachments, and documents:

> Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

Attorney Docket No.: 010462-39.10US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Hilary Sue Lackritz of 10952 Barranca Drive, Cupertino, CA 95014; Tony C. Kowalczyk of 1850 Ash Street, Palo Alto, CA 94306; Yeong-Cheng Lee of 802 Woodhams Oaks Place, Santa Clara, CA 95051 and David A.G. Deacon of 884 Santa Rita Ave., Los Altos, CA 94022; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

OPTOELECTRONIC AND PHOTONIC DEVICES

FORMED OF MATERIALS WHICH INHIBIT

DEGRADATION AND FAILURE

Filing Date:

April 5, 2001

Application No.:

09/828,278; and

WHEREAS, Gemfire Corporation, a corporation of the state of Delaware, located at 2471 East Bayshore Road, #600, Palo Alto, CA, 94303, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

PATENT REEL: 012240 FRAME: 0435

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Application No.: 09/828,278

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. Dated: 8/10/01 Hilary Sue Lackritz STATE OF CALIFORNIA COUNTY OF SANTA CLARA On 8/10/01, before me, L.F. VegAS

personally appeared Hilary Sue Lackritz, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. L.F. VEGAS Commission # 1202604 Notary Public - California Santa Clara County My Comm. Expires Dec 9, 2002 My Commission Expires: 12/9/02 Dated: Aug. 22,01 STATE OF CALIFORNIA COUNTY OF Santa Clara SS. On <u>August 22, 2001</u>, before me, <u>JANET D. TOQUINTO, NOTARY PUBLE</u>, personally appeared <u>Tony C. Kowalczyk</u>, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. My Commission Expires: Uct. 15, 2003

PATENT

REEL: 012240 FRAME: 0436

Assignment Application No.: 09/828,278 Page 3
Dated:
STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA
On August 10, 2001, before me, L.F. Vegas, personally appeared Yeong-Cheng Lee, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
L. F. VEGAS Commission # 1202404 Notary Public - California Santa Clara County My Comm. Expires Dec 9, 2002 NOTARY PUBLIC
My Commission Expires: 12/9/02
Dated: 9/25/0/ David A.G. Deacon
STATE OF CALIFORNIA)
COUNTY OF Santa Clan, 9 MS.
on <u>Matrice</u> , before me, <u>Matrice</u> , personally appeared <u>David A.G. Deacon</u> , personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

MAUREEN I. STIDGER
Commission # 1318803
Notary Public - California
Santa Clara County
My Comm. Expires Aug 25, 2005

My Commission Expires:

RECORDED: 10/05/2001

Maureen Stage

PATENT

REEL: 012240 FRAME: 0437