



10-17-2001

Docket No.: 1442.021

D/8



101875737

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anthony Brennan
W. John Gardenier

10-501

2. Name and address of receiving party(ies):

Name: SARATOGA SPA & BATH CO., INC.

Address: 33 Wade Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: Latham State/Prov.: New York

Country: United States ZIP: 12110

Execution Date: AB - 9/5/01 WJG - 9/5/01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. Filing date

09/896,906

06/29/2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: VICTOR A. CARDONA, ESQ.

Registration No. 44,589

Address: Heslin Rothenberg Farley & Mesiti P.C.

5 Columbia Circle

City: Albany State/Prov.: New York

Country: United States ZIP: 12203

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

VICTOR A. CARDONA

Victor A Cardona

10/03 /2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 012241 FRAME: 0812

ASSIGNMENT

WHEREAS, We,

ANTHONY BRENNAN, a citizen of the United States, residing at 2184 Story Avenue, Niskayuna, New York 12309; and

W. JOHN GARDENIER, a citizen of the United States, residing at 1 Ashwood Court, Albany, New York 12208

respectively, have invented certain new and useful improvements in

FLOW CONTROL DEVICE FOR TUB, SPA, OR SHOWER

for which we have executed an application for Letters Patent of the United States, of even date herewith ; and

WHEREAS, **Saratoga Spa & Bath Co., Inc.** having offices at 33 Wade Road, Latham, New York 12110, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

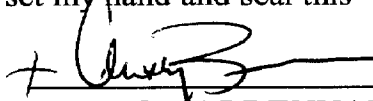
NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We the said **Anthony Brennan and W. John Gardenier**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Saratoga Spa & Bath Co., Inc.**, its successors,

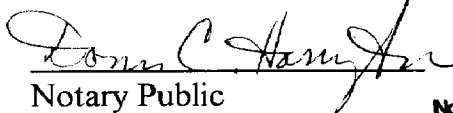
legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

5th **IN TESTIMONY WHEREOF**, I hereunto set my hand and seal this
day of September 2001.


ANTHONY BRENNAN

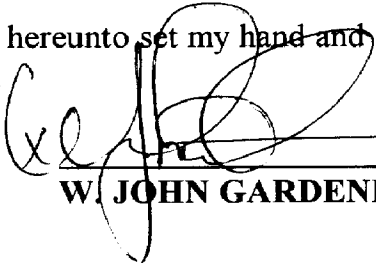
STATE OF NEW YORK)
) ss.:
COUNTY OF ALBANY)

On this 5th day of September, 2001, before me personally came **Anthony Brennan**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


Notary Public

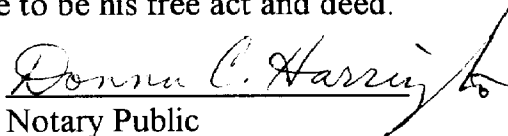
DONNA C. HARRINGTON
Notary Public, State of New York
Qualified in Schenectady County
No. 4946178
Commission Expires Jan. 27, 192003

5th **IN TESTIMONY WHEREOF**, I hereunto set my hand and seal this
day of September 2001.


W. JOHN GARDENIER

STATE OF NEW YORK)
) ss.:
COUNTY OF ALBANY)

On this 5th day of September, 2001, before me personally came **W. John Gardenier**, known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


Notary Public

DONNA C. HARRINGTON
Notary Public, State of New York
Qualified in Schenectady County
No. 4946176
Commission Expires Jan. 27, 192003