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TRANS

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RDATION

Atty. Docket: 2047.151

To the Director, U.S. Patent and

original documents or copy thereof.

1. Name of conveying party(ies):

a) INDRA PRAKASH, b) ZHI GUO, c) STEVE  
SCHROEDER AND d) KURT L. WACHHOLDER

101877904

OCT 12 2001

Additional name(s) of conveying party(ies) attached

☐ Yes

☐ No

Name and address of receiving party(ies):

Name: THE NUTRASWEET COMPANY

Foreign Address:

Domestic Address: 10 South Wacker Drive

Suite 3200

City: Chicago State IL ZIP 60606

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Other

☐ Merger

☐ Change of Name

Execution Date: a), b), c) and d) September 28, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number: 09/859,438

Filing Date: May 18, 2001

B. Title of Invention:

SYNTHESIS OF N-[N-(3,3-DIMETHYLBUTYL)-L-ALPHA-  
ASPARTYL]-L-PHENYLALANINE 1-METHYL ESTER  
USING L-ALPHA-ASPARTYL-L-PHENYLALANINE 1-  
METHYL ESTER PRECURSORS

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto

30 Rockefeller Plaza

New York, New York 10112-3801

Telephone No.: (212) 218-2100

Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.

Elizabeth F. Holowacz

Name of Person Signing

Signature

October 11, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 2

EFH:meg

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PATENT  
REEL: 012248 FRAME: 0047

FOR VALUE RECEIVED, WE INDRA PRAKASH, ZHI GUO, STEVE SCHROEDER and KURT L. WACHHOLDER, citizens of India, China, United States and United States, respectively, residing, respectively, at 4731 Sunflower Lane, Hoffman Estates, IL 60195; 1340 South Elmhurst, Mount Prospect, IL 60056; 6486 Hallen Avenue, Belvidere, IL 61008; and 1175 Price Drive, Elgin, IL 60120; hereby sell, assign, transfer and convey unto The NutraSweet Company a corporation of Delaware having a place of business at 10 South Wacker Drive, Suite 3200, Chicago, IL 60606 its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

SYNTHESIS OF N-[N-(3,3-DIMETHYLBUTYL)-L-ALPHA-ASPARTYL]-L-PHENYLALANINE 1-METHYL ESTER USING L-ALPHA-ASPARTYL-L-PHENYLALANINE 1-METHYL ESTER PRECURSORS

and described in an application for Letters Patent of the United States filed by us on May 18, 2001 as U.S. Application Serial No. 09/859,438

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificate, utility model, utility certificate, patent of importation, registration of patent and industrial design registration which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and we hereby authorize and request the Assistant Commissioner for Patents of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee of said application or nominees to invoke and claim for any application for such Letters Patent or other grants of protection ensuing from said application for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal this 18<sup>th</sup> day of Sept, 2001

Indra Prakash L.S.  
INDRA PRAKASH

Zhi Guo L.S.  
ZHI GUO

Steve Schroeder L.S.  
STEVE SCHROEDER

Kurt L. Wachholder L.S.  
KURT L. WACHHOLDER

STATE OF ILLINOIS )  
COUNTY OF COOK ) ss.:  
)

On this 18<sup>th</sup> day of September, 2001, before me personally appeared Indra Prakash, Zhi Guo, Steve Schroeder and Kurt L. Wachholder to me known and known to me to be the individuals described in and who executed the foregoing instrument, and who thereupon acknowledged to me that they executed the same for the purposes therein set forth.

(Seal)

Barbara A. Ashley  
Notary Public  
(Notary Stamp)

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