

10-18-2001



Docket No.: Q01-1022-US1

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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101876836

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JEFFREY A. GARIEPY; STEPHEN STAMM; VICTOR  
FINLEY; MARK ACKERMANN; CHAN S. KIM; SATYA  
MALICK; GEORGE A. SALIBA

10/11/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: QUANTUM CORPORATION

Address: 501 SYCAMORE DRIVE

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: MILPITAS

State/Prov.: CA

Country: US

ZIP: 95035

Execution Date: 9/6/01; 9/7/01; 9/11/01

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/903,438

7/11/01

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID B. HARRISON

Registration No. 27,445

Address: QUANTUM CORPORATION

501 SYCAMORE DRIVE

City: MILPITAS

State/Prov.: CA

10/17/2001 BYRNES 00000170 500283 09902406 95035

01 PC:581 40.00 CH

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0283

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID B. HARRISON, Reg. No. 27,445

Name of Person Signing

Signature

Date



Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012248 FRAME: 0836

## PATENT ASSIGNMENT

WHEREAS We, JEFFREY A. GARIEPY, a citizen of the United States of America, residing at 15 Brandywine Road, West Boylston, MA 01583; STEPHEN STAMM, a citizen of the United States of America, residing at 880 South Hoover Street, Fort Lupton, CO 80621; VICTOR FINLEY, a citizen of the United States of America, residing at 6650 West 108<sup>th</sup> Aveune, Westminister, CO 80020; Mark Ackerman, a citizen of the United States of America, residing at 294 South East Main Street, Douglas, MA 01516; CHAN S. KIM, a citizen of Korea, residing at 10 Judy Circle, Franklin, MA 02038; SATYA MALLICK, a citizen of India, residing at 19 Jencks Road, Milford, MA 01752, and GEORGE A. SALIBA, a citizen of the United States of America, residing at 109 Howard Street, Northboro, MA 01532, have invented improvements for a certain new and useful "ROTARY MAGAZINE TAPE LIBRARY SYSTEM", for which we have filed on July 11, 2001, serial number 09/903,438 for Letters Patent to the United States Patent and Trademark Office, and

WHEREAS, QUANTUM CORPORATION, a corporation existing under the laws of the State of Delaware and doing business at 501 Sycamore Drive, Milpitas, California 95035, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said patent application,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We, JEFFREY A. GARIEPY, STEPHEN STAMM; VICTOR FINLEY, CARLS SWANSON, MARK ACKERMAN, CHAN KIM, SATYA MALLICK, and GEORGE A. SALIBA have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer and set over unto the said QUANTUM CORPORATION, its successors, legal representatives and assign my entire right, title and interest in, to and under the said invention and the said application for Letters Patent executed on even date herewith, a copy of which as filed in the United States Patent and Trademark Office is contained in a file marked "Docket No. Q01-1022-US1" in the Corporate Legal Department of the said Quantum Corporation, any provisional applications upon which said application for Letters Patent is based upon, and all divisions, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and to all extensions, renewals and reissues thereof, and to all reexamination certificates issued

thereon, and the right to claim priority under the International Convention for the Protection of Industrial Property; and, we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, in accordance with this instrument.

AND we hereby covenant that each of us has the full right to convey his entire interest herein assigned, and that each of us has not executed, and will not execute, any agreement in conflict herewith.

AND hereby further covenant and agree that each of us will communicate to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, any facts known to him respecting said invention and said applications, and testify in any legal proceedings, execute all lawful papers, make all rightful oaths and generally do everything possible to aid the said QUANTUM CORPORATION, its successors, legal representatives and assigns to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, the undersigned have hereunto set our hands and seals on the date set out adjacent to our name herein below.

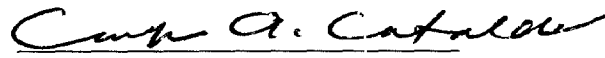
  
JEFFREY A. GARIEPY

Date:

State of

County of

On 9/6/01 before me, Carolyn A. Cataldo, the undersigned Notary Public, personally appeared JEFFREY A. GARIEPY being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

  
Notary Public

Carolyn A. Cataldo, Notary Public  
Commonwealth of Massachusetts  
My Commission Expires 11/20/2003



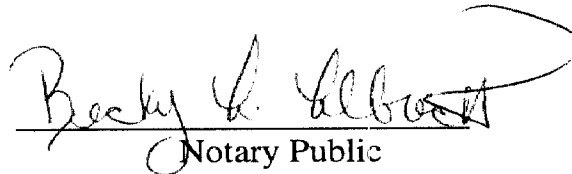
STEPHEN STAMM

Date: 9/07/01

State of Colorado

County of Boulder

On 9/7/01 before me, Becky A Albrecht, the undersigned Notary Public, personally appeared STEPHEN STAMM being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

  
Notary Public

My Commission Expires 09/03/2003

  
VICTOR FINLEY

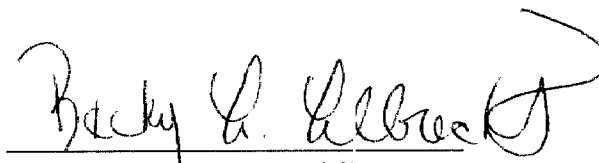
Date:

9/6/01

State of Colorado

County of Boulder

On 9/6/01 before me, Becky A. Albrecht, the undersigned Notary Public, personally appeared VICTOR FINLEY being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

  
Notary Public

My Commission Expires 09/03/2003



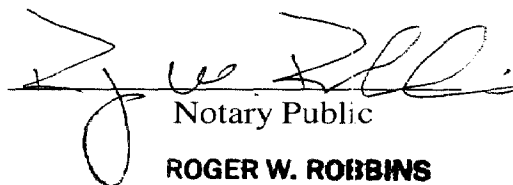
MARK ACKERMAN

Date: 9-11-2001

State of

County of


On 9-11-2001 before me, ROGER W. ROBBINS, the undersigned Notary Public, personally appeared MARK ACKERMAN being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

ROGER W. ROBBINS  
Notary Public

My Commission Expires Feb. 1, 2002

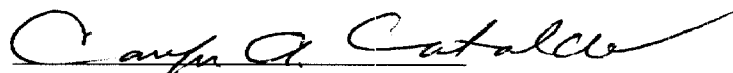
  
CHAN KIM

Date: 09/07/01

State of

County of

On 9/7/01 before me, Chan Kim, the undersigned Notary Public, personally appeared CHAN KIM being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

  
Notary Public

Carolyn A. Cataldo, Notary Public  
Commonwealth of Massachusetts  
My Commission Expires 11/20/2003





SATYA MALLICK

Date: 9/7/01

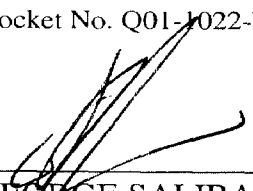
State of

County of

On 9/7/01 before me, Satya Mallick, the undersigned Notary Public, personally appeared SATYA MALLICK being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

  
Notary Public

Carolyn A. Cataldo, Notary Public  
Commonwealth of Massachusetts  
My Commission Expires: 11/20/2003

  
\_\_\_\_\_  
GEORGE SALIBA

Date:

State of

County of

On 2/6/01 before me, Carolyn A. Cataldo, the undersigned Notary Public, personally appeared GEORGE SALIBA being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

Carolyn A. Cataldo  
Notary Public

Carolyn A. Cataldo, Notary Public  
Commonwealth of Massachusetts  
My Commission Expires 11/20/2003