

10-18-2001

Docket No.: FIRST-3305

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P08A/REV03



HEET

U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Keith A. Raniere

10/09/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

## 2. Name and address of receiving party(ies):

Name: First Principles, Inc.

Address: 455 New Karner Road

City: Albany

State/Prov.: NY

Country: USA

ZIP: 12205

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 9, 2001

Patent Application No.

Filing date

B. Patent No.(s)

10/12/2001 HTECKRL01 00000021 23149362

02 FC:501

40.00 OP

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Customer No. 5409

Registration No.

Address:

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## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

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## 8. Deposit account number:

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arlen L. Olsen - Reg. No. 37,543

Name of Person Signing

Signature

10/9/2001

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
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PATENT

REEL: 012249 FRAME: 0482

## ASSIGNMENT

Whereas, I

(1) Keith A. Ranieri

City of Clifton Park  
State of New York

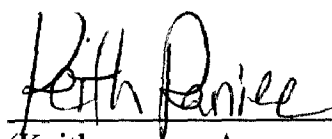
have invented certain improvements in a:

### RATIONAL INQUIRY SASH

And whereas, FIRST PRINCIPLES, INC., a corporation of New York, having a place of business at 455 New Karner Road, Albany, N.Y. 12205, (hereinafter called FIRST PRINCIPLES), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to FIRST PRINCIPLES, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to FIRST PRINCIPLES, its successors and assigns; and I hereby agree that FIRST PRINCIPLES may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by FIRST PRINCIPLES.

(1) on October 9, 2001



(Keith      A.      Ranieri)  
First Name      Middle      Last Name

Signature  
Inventor