

10-22-2001



101880581

AND TRADEMARK OFFICE

Attorney Docket No. 4858-000021/CPE

COVER SHEET FOR RECORDAL OF DOCUMENT (PATENT)

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Jc879 U.S. PTO
09/974043
10/09/01

*10-9-01
09974043*

Sir:

Pursuant to 37 C.F.R. 3.31, enclosed herewith is a document for recordal in this case. The following information is provided:

(1) **Name Of Party Conveying The Interest:**

Jeremy Barker
M. Yazid Saidi
Jeffrey L. Swoyer

(2) **Name And Address Of Party Receiving The Interest:**

Valence Technology, Inc.
301 Conestoga Way
Henderson, NV 89015

(3) **Description Of The Transaction To Be Recorded:**

Assignment License
 Change of Name Other _____

(4) **Application(s) and/or Patent(s) Against Which Enclosure Is To Be Recorded:**

Serial Number 09/____,____, filed ___/___/99.
 Application being filed concurrently herewith.
 Patent Number _____, issued _____.

(5) **Name And Address Of The Party To Whom Correspondence Concerning The Request To Record Should Be Mailed:**

Linda M. Deschere
Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303

The PTO is listed here as *Yas Assignment*

(6) **Number Of Applications and/or Patents Identified In The Cover Sheet And Total Recordal Fee:**

Number of Applications/Patents: one


Total Recordal Fee Enclosed:..... \$40.00

(7) **Dates The Document Was Executed:** October 5, 2001 & September 4, 2001

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, Applicant(s) has/have not paid a sufficient fee, please charge our Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this document is enclosed.

Respectfully submitted,

By: 

Linda M. Deschere
Reg. No. 34,811
Attorney for Applicants

Date: 9 October 2001

ASSIGNMENT

Atty. Docket No. 4858-000021/CPE
Valence Technology, Inc. Ref. No. VT-2085

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

STABILIZED ELECTROCHEMICAL CELL ACTIVE MATERIAL

for which Assignor is about to make or has made United States or International application for patent

- (a) executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attorney);
- (b) executed on _____, _____, _____; or
- (c) filed on _____, and assigned Serial No. _____ or PCT International Application No. _____, and

WHEREAS, Valence Technology, Inc., hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letter patent any reissue, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue said Letters Patent, Utility Model Registration or Inventor's Certificate to Assignee.

Assignor hereby authorizes and requests my attorney to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

ASSIGNMENT

Jeremy Barker
First Inventor

Witness

Dated

Witness

M. Yazid Saidi

M. Yazid Saidi
Second Inventor

Jeffrey L. Swoyer

Jeffrey L. Swoyer
Third Inventor

State of Nevada)
County of Clark) ss.

On this 4th day of September, 2002, before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

Cathy L. Scolari
Notary Public,

(seal)

Clark County, State of Nevada



ASSIGNMENT

Atty. Docket No. 4858-000021/CPE
Valence Technology, Inc. Ref. No. VT-2085

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

STABILIZED ELECTROCHEMICAL CELL ACTIVE MATERIAL

for which Assignor is about to make or has made United States or International application for patent

- (a) executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attorney);
- (b) executed on _____, _____, _____; or
- (c) filed on _____, and assigned Serial No. _____ or PCT International Application No. _____, and

WHEREAS, Valence Technology, Inc., hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letter patent any reissue, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue said Letters Patent, Utility Model Registration or Inventor's Certificate to Assignee.

Assignor hereby authorizes and requests my attorney to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

ASSIGNMENT

Jeremy Barker
 Jeremy Barker
 First Inventor

Witness 5-10-01

5-10-01
 Dated

Witness 5-10-01

M. Yazid Saidi
 M. Yazid Saidi
 Second Inventor

Jeffrey L. Swoyer
 Jeffrey L. Swoyer
 Third Inventor

State of _____)
) ss.
 County of _____)

On this _____ day of _____, 20____, before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

 Notary Public,

(seal)

_____ County, State of _____