

10-23-2001



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To the Honorable Comm.

COVER SHEET

ONLY

Docket No.: 1038-1176 MRS:jb

09/914205
JC05 Rec'd PCT/PTO 23 AUG 2001

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Use record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Charles D.Y. Sia; Shi-Xian Cao; Roy Persson; Benjamin Rovinski; and Mark Parrington

08/23/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: April 6, 2000

2. Name and address of receiving party(ies):

Name: Connaught Laboratories Limited

Address: 1755 Steeles Avenue West

City: Toronto

State/Prov.: Ontario

Country: Canada

ZIP: M2R 3T4

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

08/28/2001 UEDUVIJE 00000186 09914205

04 FC:581

40.00 OP

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael I. Stewart

Registration No. 24,973

Address: 6th Floor, 330 University Avenue

City: Toronto

State/Prov.: Ontario

Country: Canada

ZIP: M5G 1R7

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael I. Stewart (Reg. No. 24,973)

Name of Person Signing

Signature

August 22, 2001

Date

Total number of pages including cover sheet, attachments, and

3

PATENT

REEL: 012259 FRAME: 0508

ASSIGNMENT

WHEREAS, we, ~~SIA, Charles D.Y.; CAO, Shi-Xian; PERSSON, Roy and ROVINSKI, Benjamin~~, citizens of Canada, residing respectively at ~~189 Mabley Crescent, Thornhill, Ontario, Canada, L4J 2Z7; Apt. 408, 716 The West Mall, Etobicoke, Ontario, Canada, M9C 4X6; Unit 604, 7-Bishop Avenue, North York, Ontario, Canada, M2M 4J4; and 70 Winding Lane, Thornhill, Ontario, Canada, L4J 5H6~~, have invented certain new and useful improvements in **EXPRESSING GP140 FRAGMENT OF PRIMARY HIV-1 ISOLATE**, for which is described and claimed in the specification submitted herewith and was filed as PCT International Application No. PCT/CA00/00190 on Feb. 24, 2000 .

WHEREAS, we verily believe ourselves to be the original, first and co-inventors of the invention set forth in said application for Letters Patent; and

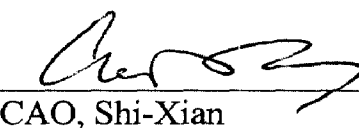
WHEREAS, CONNAUGHT LABORATORIES LIMITED, a company organized and existing under the laws of the Province of Ontario, sometimes referred to as "said assignee" having a place of business at 1755 Steeles Avenue West, Toronto, Ontario, Canada, M2R 3T4, are desirous of acquiring the entire and exclusive right, title and interest in and to said invention, any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued thereon in the United States and throughout the world, including any and all divisions, continuations, reissues and extensions of any of the foregoing;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), to us in hand paid by the said CONNAUGHT LABORATORIES LIMITED, and other good and valuable consideration, receipt of which is hereby acknowledged, we, the said ~~SIA, Charles D.Y.; CAO, Shi-Xian; PERSSON, Roy and ROVINSKI, Benjamin~~, have sold, assigned, transferred and set over and do hereby sell, assign, transfer and set over unto the said assignee, its successors and assigns, the entire and exclusive right, title and interest in and to said invention, patent applications and Letters Patent which may be granted or issued for said invention in the United States and throughout the world, including all divisions, continuations, reissues and extensions thereof and all international priority rights associated therewith all to be held by us had this assignment not been made, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the duly constituted authorities of all foreign countries of the world to issue all Letters Patent relating to the foregoing rights to said assignee, its successors and assigns;

AND we further agree that we will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the said assignee, its successors and assigns may be necessary or desirable for obtaining, sustaining, or reissuing United States and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title of said assignee, its successors and assigns thereto, and that we will generally cooperate to the fullest extent in all matters pertaining to said invention and patents, and said assignee's title thereto.

IN WITNESS WHEREOF, we have hereunto set our hands and seal this on
6th day of April, 2000.


SIA, Charles D.Y.


CAO, Shi-Xian


PERSSON, Roy


ROVINSKI, Benjamin

On this 6th day of April, 2000, before me, the subscribers, personally appeared ~~SIA, Charles D.Y.~~; CAO, Shi-Xian; ~~PERSSON, Roy~~ and ~~ROVINSKI, Benjamin~~, to me known to be the same persons described in and who executed the foregoing instrument, and they acknowledged that they executed the same.


Notary Public

**CAROL ANN GILLIS, Notary Public, City of Toronto,
limited to the attestation of instruments and the
taking of affidavits for Aventis Pasteur Limited.
Expires January 21, 2003.**