10-23-2001 Docket No. 55669-A-PCT-US/JPW/PJP FORM PTO-1595 (Substitute) U.S. DEPARTMENT OF COMMERCE 1-31-92 Patent and Trademark Office 101882425 To the Honorable Commissioner of Patents and ocuments or copy thereof. 1. Name of conveying party() 2. Name and address of receiving party(ies): Cy A. Stein Name: The Trustees of Columbia University in the City of New Additional name(s) of conveying party(ies) attached? Yes X No York Internal Address: 3. Nature of Conveyance: Street Address: 116th Street and Broadway X Assignment Security Agreement Change of Name City New York State N.Y. ZIP _____10027 Execution Date: February 26, 2001 Additional name(s) & address(es) attached? Yes No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: ___ A. Patent Application No.(s) B. Patent No.(s) Serial No. 09/753,169 Yes 🖾 No Additional numbers attached? 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: <u>John P. White</u> 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address:__ Enclosed X Authorized to be charged to deposit account 8. Deposit account number: Street Address: Cooper & Dunham LLP 1185 Avenue of the Americas 03-3125 (Attach duplicate copy of this page if paying by deposit account) State: New York ZIP 10036 City: New York DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Peter J. Phillips Name of Person Signing Total Number of pages including cover sheet: 3 I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Assistant Commissioner for Patents,

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Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, I, the undersigned, Cy A. Stein residing at 11 Dolphin Road, New City, New York 10956, U.S.A.

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York a corporation of the State of

New York having a place of business at West 116th Street and Broadway in the County of New York and State of New York 10027 its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned and is entitled

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(U.S. Serial No. 09/753,169 Filed January 2, 2001, a continuation of PCT International Application No. PCT/US99/15250, filed July 2, 1999, claiming priority and a continuation-in-part of U.S. Serial No. 09/109/614, filed July 2, 1998)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignce, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

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Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and putpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries execute all divisional continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Coverant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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