

10-23-2001

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



10-2-01

Tab settings

101882182

To the Honorable Commissioner of Patents and Trademarks: Please recoru the attached original documents or copy thereof.

1. Name of conveying party(ies):

Darryl D. Restaino
Jeffrey C. Hedrick
John A. Fitzsimmons
Christy S. Tyberg
Shahab Siddiqui

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

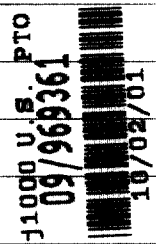
Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? Yes No



3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 08/17; 08/21; 08/17; 08/29; 08/29/2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 8/17; 8/21; 8/29/01

A. Patent Application No.(s)

B. Patent No.(s)

09969361

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric W. Petraske

Internal Address: Intellectual Property Law Department
International Business Machines Corporation

Dept. 18G / Bldg. 300-482

Street Address: 2070 Route 52

City: Hopewell Junction State: NY ZIP: 12533

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-566

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric W. Petraske, Reg. No. 28,459

October 2, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

PATENT

ASSIGNMENT

WHEREAS, we

- (1) Darryl D. Restaino of Modena
County of Ulster State of New York
and
- (2) Jeffrey C. Hedrick of Montvale
County of Bergen State of New Jersey
and
- (3) John A. Fitzsimmons of Poughkeepsie
County of Dutchess State of New York
and
- (4) Christy S. Tyberg of Croton-on-Hudson
County of Westchester State of New York
and
- (5) Shahab Siddiqui of Wappingers Falls
County of Dutchess State of New York

have invented certain improvements in

IMPROVED FILM PLANARIZATION FOR LOW-K POLYMERS USED IN SEMICONDUCTOR STRUCTURES

and executed a United States patent application therefor on:
(dates that Inventors signed the declaration)

- (1) 8/17/01, and (2) 8/21/01, and (3) 8/17/2001, and
(4) 8/29/01, and (5) 08/29/01.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at East Fishkill, NY Darryl D. Restaino
on 8/17/01, Darryl D. Restaino
and

(2) at EAST FISHKILL NY
on 8/21/01, Jeffrey C. Hedrick
and

(3) at East Fishkill, NY John A. Fitzsimmons
on 8/19/2001, John A. Fitzsimmons
and

(4) at East Fishkill, NY
on 8/29/01, Christy S. Tyberg
and

(5) at East Fishkill, NY Shahab Siddiqui
on 8/29/01, Shahab Siddiqui