

10-23-2001 5 101882234 E HONORABLE COMMISSIONER OF PATENTIA

Attorney Docket Number 8317-111

RADEMARKS

Box Assignment Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): SIMON FRASER UNIVERSITY Additional name(s) of conveying party(ies) attached? Use So No Assignment Change of Name Country (if other than USA): Execution Date: September 21, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 09/874,330 Additional numbers attached? PENNIE & EDMONDS up 1667 K Steet, NW. Washington, D.C. 20006 B. Patent No.(s) Additional numbers attached? To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Christopher G. Hayden 4. Application Signature Total number of pages including cover sheet: 2. Name and address of receiving party(ies): Name: EKC Technoloy, Inc. 2. Name: EKC Technoloy, Inc. EKC Technoloy, Inc. 2. Name: EKC Technoloy, Inc. Address: Hayward, CA 94545-1163 Country (if other than USA): Hayward, CA 94545-1163 Country (if other than USA): Address: Hayward, CA 94545-1163 Country (if other than USA): B. Patent No.(s) B. Patent No.(s) Additional numbers attached? PENNIE & EDMONDS up 1667 K Steet, NW. Washington, D.C. 20006 B. Patent No.(s) Total fee (37 CFR 3.41):	reasoned the attached original documents of copy mercor.		
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Design No. Security Agreement □ Change of Name □ Change of Change of Change of Change of Name □ Change of N	SIMON FRASER UNIVERSITY	Name: EKC Technoloy, Inc.	
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□ Security Agreement □ Change of Name □ Other □ Execution Date: September 21, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) ○ 09/874,330 □ B. Patent No.(s) □ Additional numbers attached? □ Yes □ No 5. Name and address of party to whom correspondence concerning document should be mailed: PENNIE & EDMONDS LIP 1667 K Street, N.W. Washington, D.C. 20006 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Christopher G. Hayden 44,750 Name of Person Signing Reg. No. for Victor N. Balancia 31,213	3. Nature of conveyance:	Address: Hayward, CA 94545-1163	
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		number of pages including cover sheet: 2	

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

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ASSIGNMENT

WHEREAS, WE, SIMON FRASTÉR UNIVERSITY, ASSIGNORS, having a place of business at Room 2150, Strand Hall, Burnaby, British Columbia, Canada V5A 1S6, are assignees of rights to the invention in

METHOD OF AND APPARATUS FOR SUBSTRATE PRE-TREATMENT

for which we have executed an application for a Patent of the United States

- □ which is executed concurrently herewith
- which is assigned Serial No. 09/874,330 filed June 6, 2001, and identified by Pennie & Edmonds LLP docket no. 8317-111-999

and WHEREAS, EKC Technology, Inc., ASSIGNEE, having a place of business at 2520 Barrington Court, Hayward, CA 94545-1163, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof:

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

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	IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our
1	respective signatures.
	Date September 21, 2001 Frank L.S.
	Name: E
	Title: Authorized Representative of Simon Frasier University
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-	State of BRITISH COLUMBIA) SS.: County of CANADA) SS.:
	Countries (ANA)A) SS.:
	County of Commence of the alendary
	On Secton 21, 2001, before me, Philip W.L. MAH affiduit Motary Public; personally appeared E. Jean Track, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that
	Public personally appeared F. Teas Track personally known to me on the basis of satisfactory
-	evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that
	he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
	ne/sne/tney executed the same in his/nei/their authorized capacity(tes), and that by instrument
	instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
	WITNESS my hand and official seal

1 -

RECORDED: 10/04/2001

for British Celumbia

A Commissioner for Taking Affidavits

PATENT DCI - 298498.1

REEL: 012264 FRAME: 0603