

10-18-2001

FORM PTO 1595

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101876866

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert Hornung and John S. France

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: **July 11, 2001 by Hornung**
July 12, 2001 by France

2. Name and address of receiving party(ies):

Name: **VERTICAL VENTURES V-5, LLC**

Internal Address:

Street Address: **1175 Post Road East**City: **Westport** State: **CT** ZIP: **06880**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the date of the application is: _____

A. Patent Application No(s).

09/882,295

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry R. Lipsitz**

Internal Address: _____

Street Address: **755 Main Street**City: **Monroe** State: **CT** ZIP: **06468**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **40.00**☒

Enclosed

☐

Authorized to be charged to deposit account

8. Deposit account number:

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

10/12/2001 TL0011 00000009 09882295

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry R. Lipsitz

Name of Person Signing

Signature

October 9, 2001

Date

Total number of pages including cover sheet, attachments and document:

3**PATENT**
REEL: 012266 FRAME: 0303

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I/we the undersigned,

Robert Hornung and John S. France

who, has/have created a certain invention for which an application for United States Letters Patent has been :

[] **executed concurrently herewith**
[X] **filed on** June 15, 2001 **and assigned application number** 09/882,295,
and is entitled:

INSULATING GLASS SASH ASSEMBLIES WITH ADHESIVE MOUNTING AND SPACING STRUCTURES

Do hereby sell, assign and transfer to: **Vertical Ventures V-5, LLC**

referred to herein after as "Assignee", whose address is: **1175 Post Road East
Westport, CT 06880
U.S.A.**

its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto (including prior filed provisional applications) which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that Assignee may apply for and receive in its own name Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, and extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns, and legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns and legal representatives; and


Covenant with said Assignee, its successors, assigns and legal representatives that no

Application No.: 09/882,295

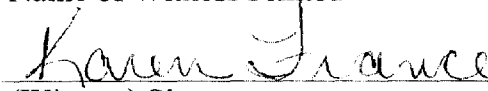
TITLE OF INVENTION: INSULATING GLASS SASH ASSEMBLIES WITH ADHESIVE MOUNTING AND SPACING STRUCTURES

assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

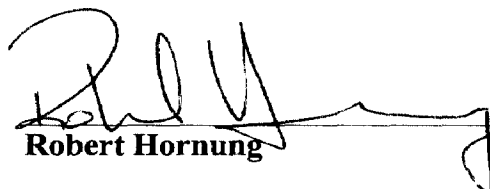
IN TESTIMONY WHEREOF, I/we have hereunto set my/our signature(s) on the date(s) indicated below.


(Witness) Signature


Daniela Pappas
Name of Witness Printed


(Witness) Signature

Karen France
Name of Witness Printed


(L.S.)
Robert Hornung

7-11-01
Date


(L.S.)
John S. France

7-12-01
Date