

10-24-2001

U.S. Department of Commerce
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PATENT



101884787
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☐ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

☒

Reel # 012029

Frame # 0208

Conveyance Type

☒

Assignment

☐

Security Agreement

☐

License

☐

Change of Name

☐

Merger

☐

Other

U.S. Government

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☐

Departmental File

☐

Secret File

Conveying Party(ies)

☒

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Christian Kunert

07/24/2001

Name (line 2)

Second Party

Name (line 1) Uwe Kolberg

Execution Date
Month Day Year

07/24/2001

Name (line 2)

Receiving Party

☐

Mark if additional names of receiving parties attached

Name (line 1) SCHOTT GLAS

Name (line 2)

Address (line 1) Hattenbergstrasse 10

Address (line 2)

Address (line 3) Mainz

Germany

55122

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

10/23/2001 DBYRNE 00000232 09759314

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 012267 FRAME: 0735

Correspondent Name and Address

Area Code and Telephone Number (219) 424-8000

Name John F. Hoffman

Address (line 1) BAKER & DANIELS

Address (line 2) 111 East Wayne Street

Address (line 3) Suite 800

Address (line 4) Fort Wayne, Indiana 46802

Pages Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/759,314

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

02-0385

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John F. Hoffman, Regis. No. 26,280

Name of Person Signing

Signature

October 9, 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Werner Kiefer

Execution Date
Month Day Year
07/24/2001

Name (line 2)

Name (line 1) Hildegard Römer

Execution Date
Month Day Year
07/24/2001

Name (line 2)

Name (line 1) Volker Ohmstede

Execution Date
Month Day Year
07/24/2001

Name (line 2)

Receiving Party

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02

RECORDATION FORM

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼ ▼

08-06-2001



101800227

To the Honorable Commissioner of Patent

attached original documents or copy thereof.

1. Name of conveying party(ies):

Christian Kunert
Uwe Kolberg
Werner Kiefer
Kildegard Römer

17/30/01

2. Name and address of receiving party(ies):

Name: **SCHOTT GLAS**Address: **Hattenbergstraße 10**

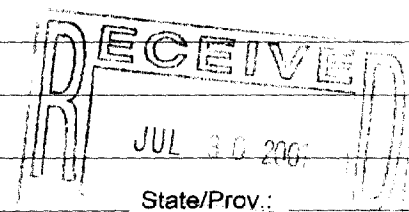
Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **July 24, 2001**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/759,314**January 12, 2001**

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John F. Hoffman**Registration No. **26,280**Address: **Baker & Daniels****111 East Wayne Street, Suite 800**City: **Fort Wayne**State/Prov.: **IN**Country: **USA**ZIP: **46802**

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

02-0385

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John F. Hoffman

Name of Person Signing

Signature

July 25, 2001

Date

08/06/2001 LUNELLER 00000025 09759314

Total number of pages including cover sheet, attachments, and

7

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40.00 DP

Continuation of Item I

Volker Ohmstede

ASSIGNMENT

WHEREAS, we, Christian Kunert, Uwe Kolberg, Werner Kiefer, Hildegard Römer and Volker Ohmstede, residing at Wallaustraße 45, 55118 Mainz, Germany, Flößerweg 1, 55252 Mainz-Kastel, Germany, Jupiterweg 19, 55126 Mainz, Germany, Heidegasse 9, 61184 Karben, Germany, and An den Frankengräbern 13, 55129 Mainz, Germany, respectively, have invented certain improvements in:

COATING OF COOLED DEVICES

as described and claimed in an application for Letters Patent of The United States of America thereon, said application having Application Serial No. 09/759,314 and filed on January 12, 2001; and

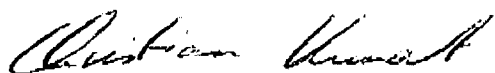
WHEREAS, SCHOTT GLAS, a corporation organized and existing under the laws of Germany, having its principal place of business at Hattenbergstraße 10, 55122 Mainz, Germany, is to acquire the entire right, title and interest in and to said invention and said application for Letters Patent;

NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid, and for other good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we, Christian Kunert, Uwe Kolberg, Werner Kiefer, Hildegard Römer and Volker Ohmstede, do hereby assign, sell, transfer and set over unto said SCHOTT GLAS, its successors and assigns, the full, entire and exclusive right, title and interest, for the territory of the United States of America, in and to said invention, as described in the application identified above, and in and to said application and any divisions or continuations or continuations-in-part thereof or substitutes therefor which may be filed, and in and to any patents or reissues, renewals or extensions thereof which may be granted on said application or for said invention; said invention, applications, and patents to be held and enjoyed by said SCHOTT GLAS, and its successors or assigns, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We hereby authorize and request the Patent and Trademark Office officials in the United States of America to issue any and all of said patents, when granted to said SCHOTT GLAS, as the assignee of our entire right, title and interest in and to the same for the sole use and enjoyment of said SCHOTT GLAS, its successors and assigns.

Further, we agree to execute all papers and to give such lawful testimony and to perform such other lawful acts as said SCHOTT GLAS, its successors and assigns may require to enable it or them to procure a Letters Patent on said invention or reissues or extensions thereof in the United States of America, and/or to hold, enforce or convey said Letters Patent, reissues or extensions.

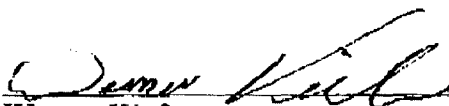
Executed this 24 day of July, 2001.



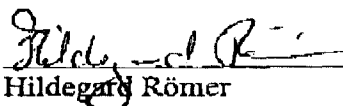
Christian Kunert



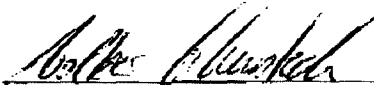
Uwe Kolberg



Werner Kiefer



Hildegard Römer



Volker Ohmstedt

WITNESSES:

