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William C. Welch

10/15/01

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Execution Date: September 14, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/921,008

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Schmaltz  
Address: Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
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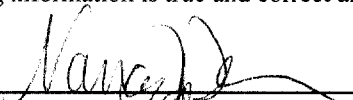
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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October 11, 2001

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PATENT TRADEMARK OFFICE

PATENT  
 REEL: 012267 FRAME: 0939

### ASSIGNMENT

WHEREAS, I, William C. Welch, residing at Scaife Road, Sewickley Heights, Pennsylvania 15143 U.S.A., made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on August 2, 2001, application Serial No. 09/921,008, and also an international PCT patent application, designating all countries including the United States, on August 2, 2001, application Serial No. PCT/US01/24247, both of which are entitled POSTERIOR OBLIQUE LUMBAR ARTHRODESIS.

IN CONSIDERATION, of my rights and obligations under the University of Pittsburgh Patent Rights and Technology Transfer Policy and of One Dollar (\$1.00) and other goods and valuable consideration, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, the undersigned inventor hereby sells, assigns and transfers to the University of Pittsburgh of the Commonwealth System of Higher Education (Assignee), its successors and assigns my entire right, title and interest in the inventions and improvements in and to the Letters Patent as more fully described below and any foreign patent filings or issued patents.

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said

AND, furthermore I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

September, 2001.

William C. Welch  
William C. Welch

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally appeared William C. Welch to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

**Notary Public**