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U. S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

▼ CCPT 4061

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Bo Peng  
Shaolin Huang  
Zusheng Zhan  
Youming Cao

10/15/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Hubei New Huaguang New Information  
Materials Co., Ltd.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

No. 129 Changhongbeilu

City: Xiangfan City, Hubei 441003, CHINA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 8, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/859,036

B. Patent No.(s)

Additional number(s) attached ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kurt F. James

Internal Address: \_\_\_\_\_

Senniger, Powers, Leavitt & Roedel

Street Address: \_\_\_\_\_

One Metropolitan Square, 16th Floor

City: St. Louis State: MO Zip: 63102

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-1345

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt F. James

Name of Person Signing

Signature

Date

October 10, 2001

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Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012268 FRAME: 0778

## ASSIGNMENT

**WHEREAS, We Bo PENG, Shaolin HUANG, Zusheng ZHAN and Youming CAO, all of Xianfan, Hubei, China, have invented an improvement in GLASS-CERAMIC FOR SUBSTRATE OF MAGNETIC DISK HAVING HIGHER STRENGTH AND PROCESS FOR THE PRODUCTION OF THE SAME (File CCPT 4061)) and have executed an application for a United States patent based thereon May 16, 2001, Serial No. 09/859,036;**

**AND, WHEREAS, Hubei New Huaguang Information Materials Co., Ltd., of Xianfan, Hubei, China , (hereinafter referred to as "ASSIGNEE") is desirous of acquiring certain rights thereunder;**

**NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) in and to said invention, said United States application, any other United States applications (including provisional, non-provisional, divisional, continuing, or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States applications, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications;**

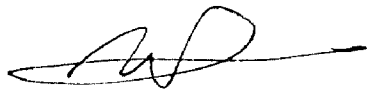
**TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;**

**AND We** hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

**AND We** hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any provisional, non-provisional, divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

**AND We** hereby jointly and severally covenant for ourselves and our respective legal representatives that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

**IN WITNESS WHEREOF**, we have hereunto set our hands.



Bo PENG



Shaolin HUANG

✓ Zusheng ZHAN  
Zusheng ZHAN

Youning Cao  
Youning CAO