



10-19-01

**ASSIGNMENT RECORDATION COVER SHEET
-PATENTS ONLY-**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof

1. Name of conveying parties:

- a) **Kevin Tu**
- b) **Peiwei Mi**
- c) **Sandeep Bhatia**
- d) **Subhash B. Tantry**

10-25-2001



101886024

2. Name and address of receiving party:

- a) Name: **Vigilance, Inc.**
- Address: **270 Santa Ana Court, Sunnyvale, California 94086**

3. Nature of conveyance

- | | | | |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment | <input type="checkbox"/> | Merger |
| <input type="checkbox"/> | Security Agreement | <input type="checkbox"/> | Change of Name |
| <input type="checkbox"/> | Other _____ | <input type="checkbox"/> | License Agreement |

Execution Date: **October 5, 2001 for Mi, Bhatia and Tantry; October 8, 2001 for Tu.**

4. Application Number(s) or Patent Number(s): **09/886,408**

The title of the (new) application is: **EVENT NOTIFICATION SYSTEM**

5. Please send all correspondence concerning this (these) documents to:

Beyer Weaver & Thomas, LLP
P.O. Box 778
Berkeley, CA 94704-0778
Ph: (510) 843-6200
Fax: (510) 843-6203
(Customer Number: 022434)


6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- Enclosed
- Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. VIGIP003)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: October 15, 2001


 Elise R. Heilbrunn
 Registration No. 42,649

10/22/2001 CCHAUI 00000054 500388 09886408
 01 FC:581 40.00 DP

Attorney Docket No. VIGIP003

(Revised 01/96)

ASSIGNMENT OF PATENT APPLICATION

Whereas I an undersigned inventor have invented certain new and useful improvements as set forth in the patent application entitled:

EVENT NOTIFICATION SYSTEM

(Atty. Docket No. VIGIP003), (check one)

- for which I have executed a U.S. patent application on even date herewith. (Accompanying)
- which bears U.S. application No. 09/886,408. (Not accompanying)
- which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I an undersigned inventor hereby:

- 1) Sell, assign and transfer to **Vigilance, Inc.**, a California corporation having a place of business at **270 Santa Ana Court, Sunnyvale, California 94086**, (“ASSIGNEE”), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents (including foreign patents and the right to claim priority) granted upon, the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE’s expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representative, and shall be binding upon the inventor, as well as the inventor’s heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

1. Inventor Signature: Kevin Tu
Kevin Tu

Date: 10/8/01

State of California)
)
County of _____) ss.

On this _____ day of _____, in the year 2001, before me, _____, Notary Public, personally appeared **Kevin Tu**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Notary Signature _____

2. Inventor Signature: Peiwei Mi
Peiwei Mi

Date: 10/5/2001

State of California)
)
County of _____) ss.

On this _____ day of _____, in the year 2001, before me, _____, Notary Public personally appeared **Peiwei Mi**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Notary Signature _____

3. Inventor Signature: Sandeep Bhatia
Sandeep Bhatia

Date: 10/05/2001

State of California)
)
County of _____) ss.

On this _____ day of _____, in the year 2001, before me, _____, Notary Public, personally appeared **Sandeep Bhatia**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Notary Signature _____

4. Inventor Signature: Subhash
Subhash B. Tantry

Date: 10/5/01

State of California)
County of _____) ss.

On this _____ day of _____, in the year 2001, before me, _____, Notary Public, personally appeared **Subhash B. Tantry**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature _____

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