

10-26-2001

IN THE UNITED



MARK OFFICE

101886747

Inventor: Dracopoli et al.

Patent No. 5,714,329

Issue Date: February 3, 1998

Atty. File. No. 4389-29

Title: "METHODS FOR THE DIAGNOSIS  
OF A GENETIC PREDISPOSITION  
TO CANCER ASSOCIATED WITH  
VARIANT CDK4 ALLELE"

**RECORDATION OF  
CHANGE OF NAME**

U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

CERTIFICATE OF MAILING
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER OF PATENTS, WASHINGTON, D.C., 20231 ON <u>October 2001</u> .
SHERIDAN ROSS P.C. BY: <u>[Signature]</u>

Dear Sir:

Please record the attached Certificate of Amendment against the above-captioned patent.

1. Name of conveying party:

PPGx, Inc.

10/15/01

2. Name and address of receiving party:

DNA Sciences Laboratories, Inc.  
3500 Paramount Parkway  
Morrisville, NC 27560

3. Nature of Conveyance:

<input type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input checked="" type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Execution Date: April 19, 2001

4. Application number(s) or patent number(s): U.S. Patent No. 5,714,329

**PATENT  
REEL: 012273 FRAME: 0308**

5. Name and address of party to whom correspondence concerning documents should be mailed:

Gary J. Connell  
Sheridan Ross P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141

6. Total number of applications and patents involved: 1.

7. Total fee (37 CFR 3.41).....\$40.00.

- ☒ Enclosed  
☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.  
☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 4

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Gary J. Connell  
Registration No. 32,020  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: 10 October 2001

*State of Delaware*  
*Office of the Secretary of State* PAGE 1

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I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "PPGX, INC.", CHANGING ITS NAME FROM "PPGX, INC." TO "DNA SCIENCES LABORATORIES, INC.", FILED IN THIS OFFICE ON THE NINETEENTH DAY OF APRIL, A.D. 2001, AT 9 O'CLOCK A.M.



*Harriet Smith Windsor*  
*Harriet Smith Windsor, Secretary of State*

2982381 8100

AUTHENTICATION: 1288609

010389886

DATE: 08-09-01

PATENT  
REEL: 012273 FRAME: 0310

**CERTIFICATE OF AMENDMENT  
OF  
AMENDED AND RESTATED  
CERTIFICATE OF INCORPORATION OF  
PPGX, INC.**

PPGX, INC., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify:

**FIRST:** The name of the Corporation is PPGX, INC.

**SECOND:** The date on which the Certificate of Incorporation of the Corporation was originally filed with the Secretary of State of the State of Delaware is January 25, 1999.

**THIRD:** The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted resolutions amending its Certificate of Incorporation as follows:


Article I shall be amended and restated to read in its entirety as follows:

"The name of the corporation is DNA Sciences Laboratories, Inc.  
(the "Corporation" or the "Company")."

**FOURTH:** Thereafter pursuant to a resolution of the Board of Directors, this Certificate of Amendment was submitted to the stockholders of the Corporation for their approval, and was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to be signed by its President and attested to by its Secretary this 19th day of April, 2001.

PPGX, INC.

By:   
Steven B. Lehrer, President

ATTEST:

  
Susan D. Berland, Secretary