

10-26-2001

Docket No.: SLA0500

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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101887079

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sheng Teng Hsu, Tingkai Li and Fengyan Zhang

10/16/01

Additional names(s) of conveying party(ies) ☐ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Sheng Laboratories of America, Inc.

Internal Address: _____

Street Address: 5750 NW Pacific Rim Boulevard

City: Camas State: WA ZIP: 98607

Additional name(s) & address(es) attached? ☐ Yes ☒ No

10/16/01
09/978487
Jc872 U.S. PTO

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 15, 2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 16, 2001

09/978487

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David C. Ripma, Patent Counsel

Internal Address: Registration No. 27,672

Sharp Laboratories of America, Inc.

Street Address: 5750 NW Pacific Rim Boulevard

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1457

10/25/2001 GTON11 00000227 191457 09978487

01 FC:581 City: Camas 40.00 CH State: WA ZIP: 98607

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David C. Ripma, Reg. No. 27,672

Name of Person Signing

Signature

October 16, 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

ASSIGNMENT

WHEREAS, the undersigned Sheng Teng Hsu, a resident of Camas, WA, Tingkai Li, a resident of Vancouver, WA, and Fengyan Zhang, a resident of Vancouver, WA, (hereinafter termed "inventors") have invented certain new and useful improvements in:

METHOD OF MAKING A SELF-ALIGNED FERROELECTRIC MEMORY TRANSISTOR

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

☒ On the 15th day of October, 2001;

Or

☐ Said application having been previously filed and assigned
Serial Number / , and filing date .

WHEREAS Sharp Laboratories of America, Inc., a corporation of the State of Washington, (hereinafter termed "Assignee"), having a place of business at 5750 NW Pacific Rim Boulevard, Camas, State of Washington, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other government grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial property or pursuant to

any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting application for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, said Inventor's heirs, legal representatives and assigns.

4. Said Inventors hereby warrant and represent that said Inventors have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(1) Sheng Teng Hsu 10/15/01
(Sheng Teng Hsu, Signature) (Date)

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IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(2) Ting Kai Li 10/15/01
(Ting Kai Li, Signature) (Date)

.....

IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(3) Fengyan Zhang 10/15/2001
(Fengyan Zhang, Signature) (Date)

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