

10-26-2001

FORM PTO-1595

Rev. 6-93

REC

MAB No. 0651-0011 (exp. 4/94)

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Ki Ha Lee

2. Alex Becker

3. Boris A. Faybishenko 4. Ray D. Solbau

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 10/10/01

2. Name and address of receiving party(ies)

Name: The Regents of the University
of California

Internal Address:

Street Address: 1111 Franklin St.,
12th FloorCity: Oakland State: CA ZIP: 94607-
5200Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/10/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Henry P. Sartorio

Internal Address: Lawrence Berkeley Nat'l
Lab Patent Dept.

Street Address: One Cyclotron Road

MS 90-1121

City: Berkeley State: CA ZIP: 94720

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account
(incl. w/ application filing fee)

8. Deposit account number:

12-0690

(Attach duplicate copy of this page if paying by deposit account)

10/25/2001 6TON11 00000094 120690 09976860

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Henry P. Sartorio

Name of Person Signing

Signature

2

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595—Recordation Form Cover Sheet [16-5]—page 1 of 3)

PATENT

REEL: 012274 FRAME: 0180

ASSIGNMENT

LNBL Case No. **IB-1425**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

1. **Ki Ha Lee**

2. **Alex Becker**

3. **Boris A. Faybishenko**

4. **Ray D. Solbau**

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12TH Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

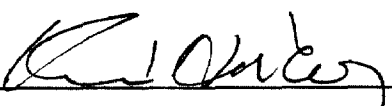
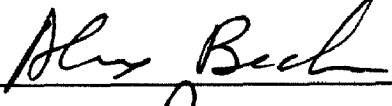
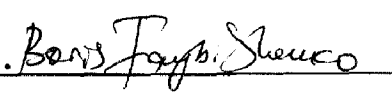
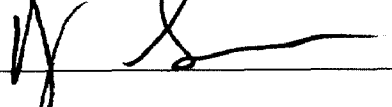
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and which is found in U.S. application executed on 10/10/01 entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Signature of Assignor(s)	Date	Signature of Assignor(s)	Date
1. 	10/11/01	2. 	10/10/01
3. 	9/25/2001	4. 	10/10/01