

10-26-2001



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kevin W. Haulk and Cheryl K. Harkins

10/18/01

## 2. Name and address of receiving party(ies):

NCR Corporation  
Law Department  
1700 South Patterson Blvd.  
Dayton, Ohio 45479-0001Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: October 4, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

NCR Docket Number 9669.00

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/896,316

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul W. Martin  
Address: NCR Corporation  
Law Department, WHQ-5E  
1700 South Patterson Blvd  
Dayton, Ohio 45479-0001

## 6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40☐ Enclosed☒ Authorized to be charged to Deposit Account

## 8. Deposit Account No.:

14-0225 of NCR Corporation

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*Paul W. Martin

Name of Person Signing

Signature

10/9/01

Date

Total number of pages including cover sheet, attachments, and document: 3Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box AssignmentPATENT  
REEL: 012276 FRAME: 0051

**ASSIGNMENT OF UNITED STATES PATENT APPLICATION**

WHEREAS, we, KEVIN W. HAULK and CHERYL K. HARKINS, of Griffin, Georgia, and Lawrenceville, Georgia, respectively, have invented certain new and useful improvements in SYSTEM AND METHOD OF MANAGING FAILURE OF AN ELECTRONIC SHELF LABEL TO RESPOND TO A MESSAGE, Docket No. 9669.00, for which we have filed an application for Letters Patent of the United States, having Serial No. 09/896,316, on June 29, 2001, respectively; and

WHEREAS, NCR Corporation, a corporation of the State of Maryland, having its principal place of business in Dayton, Ohio, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and any and all foreign countries;

NOW, THEREFORE, be it known that in consideration of the sum of One Dollar (\$1.00) and of other valuable considerations, the receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said NCR Corporation, its successors and assigns, the entire right, title and interest in and to the invention and improvements in any form or embodiment thereof, disclosed and claimed in said application, or which are disclosed and may be claimed; also the entire right, title and interest in and to any Letters Patent to be granted in the United States and its territorial possessions and in any and all foreign countries upon said invention in any form or upon any such improvements thereon, and in and to any and all divisions, reissues, continuations and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent on said application to the said NCR Corporation, of Maryland, its successors and assigns.

Further, we agree that we will communicate to said NCR Corporation, or its representatives, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said NCR Corporation, make all rightful oaths and generally to do everything possible to aid said NCR Corporation, its successors and assigns, to obtain and enforce protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 4<sup>th</sup> day of October, 2001.

  
Kevin W. Haulk

IN TESTIMONY WHEREOF, I have hereunto set my hand this 4 day of  
October, 2001.

Cheryl K. Harkins  
Cheryl K. Harkins