

10-29-2001

Patent Case No. 20684Y

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

10-1801



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TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name(s) of Conveying party(ies):

Milton L. Hammond, Aaron H. Leeman, Milana Maletic, Gina M. Santorelli and Sherman T. Waddell

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

3. Name and address of receiving party(ies):

Name: MERCK & Co., INC.  
 Internal Address: RY60-30  
 Street Address: P.O. Box 2000  
 City & State: RAHWAY, NEW JERSEY  
 Zip/Postal Code: 07065-0907

Execution Date: Jul 7, 2000

Additional name(s) & addresses attached?  Yes  No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/566,275, filed on May 5, 2000

and titled:  
NOVEL CATECHOLS AS ANTIMICROBIAL AGENTS

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached?  Yes  No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: James M. Hunter, Jr.  
 Internal Address: PATENT DEPARTMENT  
MERCK & CO., INC.  
P.O. BOX 2000 -- RY60-30  
 City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

The Commissioner is hereby authorized to charge **deposit account number 13-2755** for any fees which may be required or to credit any overpayment.

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8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James M. Hunter, Jr. Reg. No. 31,922  
Name of Person Signing

[Signature] Signature

10/15/01 Date

Total number of pages including cover sheet, attachments, and document: 4

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Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

By [Signature] Date 10/15/01

PATENT

REEL: 012278 FRAME: 0392

**ASSIGNMENT AND AGREEMENT**

For value received, we, Milton L. Hammond, Aaron H. Leeman, Milana Maletic, Gina M. Santorelli and Sherman T. Waddell

of 50 Stony Brook Road, Somerville 08876; 113 Miln Street, Cranford 07016; 812 Washington Street #3, Hoboken 07030; 37 Werah Place, Oceanport, 07757; and 735 Coleman Place, Westfield 07090 all in New Jersey, respectively

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to

**NOVEL CATECHOLS AS ANTIMICROBIAL AGENTS**

described in an application for Letters Patent of the United States, executed by us on even date herewith, and in provisional application(s) Serial No. 60/132,545 filed on 05/05/1999 ; Serial No. filed on ; Serial No. filed on (if applicable), and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property under any and all Letters Patents which may be granted therefor, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, James M. Hunter, Jr. or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at Merck & Co., Inc. this 7<sup>th</sup> day of July, 2000.

Milton L. Hammond  
Milton L. Hammond

Milana Maletic  
Milana Maletic

Sherman T. Waddell  
Sherman T. Waddell

Aaron H. Leeman  
Aaron H. Leeman

Gina M. Santorelli  
Gina M. Santorelli

**ASSIGNMENT AND AGREEMENT**

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATE OF NEW JERSEY }  
County of UNION } SS.

Personally appeared before me the above-named Milton L. Hammond Milana Maletic Sherman T.Waddell Aaron H. Leeman Gina M.Santorelli

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 7th day of July, 2000.

*Sylvia G. Brown*  
\_\_\_\_\_  
SYLVIA G. BROWN Notary Public  
Notary Public of New Jersey  
My Commission Expires June 9, 2004

\_\_\_\_\_  
County of \_\_\_\_\_ } SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public