

10-1(-0)

10-29-2001

Form PTO-1595
(Rev. 03/01)

REC

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

101889660

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PaineWebber Incorporated

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution Date: March 5, 2001

2. Name and address of receiving party(ies)

Name: UBS PaineWebber Inc.

Internal Address: _____

Street Address: _____

1200 Harbor Blvd.

City: Weehawken State: NJ Zip: 07087

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/617,853

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Leslie Gladstone Restaino
 BROWN RAYSMAN MILLSTEIN FELDER &
 STEINER
 55 Madison Ave.
 Morristown, NJ 07960

(973) 285-3222

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 02-4270

Please charge any additional fees required, or credit any overpayment, to the above deposit account.

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leslie Gladstone Restaino

Name of Person Signing

Signature

October 17, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 13

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
 REEL: 012278 FRAME: 0445

COVERSHEET SUBMITTED
WITHOUT ASSIGNMENTS.

EXAMINER, PLEASE DEEM
NON-RECORABLE