Docket No.: M190.137.101

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office **PATENT** 

Docket No.: M190.137.101	<u> </u>	PATENT
Pleas.	101887956 hereof.	· -
Name of conveying party(ies):     Timothy R. Ryan     Joseph C. Morrow	<ol> <li>Name and address of receiving party(ies):</li> <li>Name: Medtronic, Inc.</li> </ol>	U.S. PTO 182299
Additional name(s) of conveying party(ies) attached?  No ☐Yes	Internal Address: Street Address: 710 Medtronic Parkway	6/60
3. Nature of conveyance:  ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other	City: Minneapolis State: Minnesota ZIP:55442  Country: United States of America	
Execution Date: October 15, 2001	Additional name(s) & addresses attached? ☐ Yes ☑ No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the	ne execution date of the application is: October 15, 2001	
A. Patent Application Serial No.(s)/Filing Date(s)	B. Patent No.(s)	
09982299		
	Additional numbers attached?   Yes   No	
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1	
	7. Total fee (37 CFR 3.41) <u>\$40.00</u>	
Name: Timothy A. Czaja	<ul> <li>Enclosed.</li> <li>Authorized to be charged to deposit account.</li> <li>Any deficiencies in enclosed fees should be charged to deposit</li> </ul>	t account 500471
Address: DICKE, BILLIG & CZAJA, P.A. 701 Building, Suite 1250 701 Fourth Avenue South Minneapolis, MN 55415	Deposit Account Number: 500471     (Attach duplicate copy of this page if paying by deposit account)	
	DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of the b	tion is true and correct and any attached copy is a true copy of the original document.  October  Date	21
OMB No. 0651-0011 (exp. 4/94)	Do not detach this portion	
Mail documents to be recorded with required cover sheet information	ation to:	

Commissioner of Patents and Trademarks **Box Assignments** Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20231, and to the Office of Management and D.C. 20231, and to the Office of Management and D.C. 20231, and to **PATENT** D.C. 20503.

**REEL: 012278 FRAME: 0931** 

## **ASSIGNMENT**

WHEREAS, WE, Timothy R. Ryan and Joseph C. Morrow, are the inventors of "ANNULOPLASTY BAND AND METHOD" for which we have executed an application for filing in the United States Patent and Trademark Office preparatory to obtaining Letters Patent of the United States on <u>even date herewith</u>; therefor; and

WHEREAS, MEDTRONIC, INC. a corporation organized and existing under the laws of the State of Minnesota and having a principal place of business at 710 Medtronic Parkway, Minneapolis, Minnesota 55432 hereinafter referred to as "Corporation," is desirous of acquiring the entire right, title and interest in and to said invention for the United States and for all foreign countries and in and to any and all foreign and domestic Letters Patent which may be granted therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Corporation, its successors and assigns, the entire right, title and interest in and to said invention and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor including my rights under the International Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Letters Patent that may be granted, the same to be held and enjoyed by Corporation for its own use and behoof and use and behoof of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made;

AND, for the consideration aforesaid, we materially represent to Corporation, its successors and assigns, that at the time of the execution and delivery of these presents, we are the joint lawful owners of the entire right, title and interest in and to the invention, application and Letters Patent above mentioned, and that the same are unencumbered, and that we have good right and lawful authority to sell and convey the same in the manner herein set forth;

AND, for the consideration aforesaid, we hereby individually covenant and agree to and with Corporation, its successors and assigns, that whenever its counsel or the counsel of its successors or assigns, learned in the law, shall advise that an amendment or division of, or continuation or any continuation-in-part thereof, or any other proceeding in connection with the filing or prosecution of said domestic or foreign patent applications, including interference proceedings, is lawful and desirable, or that a reissue of extension of said Letters Patent is lawful and desirable, we, or our executors, administrators or assigns will sign all papers and drawings, take all rightful oaths, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or extension of the same, without charge to Corporation, its successors or assigns, but at Corporation's expense.

1

PATENT REEL: 012278 FRAME: 0932

I hereby request the Honorable Commissioner of Pa Corporation in accordance with this instrument.	atents and Trademarks to issue the Letters Patent to
OCTOBER, 2001.	set my hand on this $\frac{15^{77}}{}$ day of
	Timothy R. Ryan
	I mothy R. Ryan
STATE OF MINNESOTA	
ss. COUNTY OF HENNEPIN	
On this 15th day of October  Ryan, to me known to be the person described in and who executed the same as his free act and deed.	
IN WITNESS WHEREOF, I have hereunto affixed my	official signature with the seal of my office, the day and
year above written.	
KELLY L. DELFS Notary Public	Killy & Delfs Notary Public
Minnesota My Commission Expires Jan. 31. 2005	10000 1 10000
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I hereby request the Honorable Commissioner of Patents and Tr	rademarks to issue the Letters Patent to Corporation in
accordance with this instrument.	15-11
OCHORE WITNESS WHEREOF, I have hereunto s. 2001.	set my hand on this day of
	had a Maria
	Joseph C. Morrow
STATE OF MINNESOTA ss.	
COUNTY OF HENNEPIN	
Morrow, to me known to be the person described in and who e	
he executed the same as his free act and deed.	
	and a second of the day and
IN WITNESS WHEREOF, I have nereunto arrived in	y official signature with the seal of my office, the day and
IN WITNESS WHEREOF, I have nereunto arrixed in year above written.	,
year above written.  KELLY L. DELFS  Notary Public	y official signature with the seal of my office, the day and  Notary Public

2

**RECORDED: 10/16/2001** 

PATENT REEL: 012278 FRAME: 0933