

10-30-2001



COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

/P-21883/P1/CGC 2006

To the Honorable

101889322

record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Shivakumar Basalingappa Hendi

10/19/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Ciba Specialty Chemicals Corp.

Internal Address: P.O. Box 2005

Street Address: 540 White Plains Road

City: Tarrytown State: NY ZIP: 10591

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other -

Execution Date: October 19, 1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/428,101

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JoAnn Villamizar  
Ciba Specialty Chemicals Corp.  
Internal Address: Patent Department  
P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

6. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41) ..\$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

03-1935

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Crichton

OCT 17 2001

Name of Person Signing

Signature

Date

Reg. No. 37,300Total number of pages including cover sheet, attachments, and document: ☐Mail documents to be recorded with required cover sheet information to: ☐

Commissioner of Patents &amp; Trademarks, Box Assignments, Washington, D.C. 20231

10/29/2001 DBYRNE 00000166 031935 09428101

01 FC:581

40.00 CH

27 6/97

ASSIGNMENT

I/we

Shivakumar Basalingappa Hendi

residing at

3 Chambly Court  
Newark, Delaware 19702

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591, its successors, assigns and legal representatives all my/our right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**PROCESS FOR PREPARING BIS(HYDROXYMETHYL)PERYLENETETRACARBOXIMIDE**

invented by me/us and described in the application for United States Letters Patent

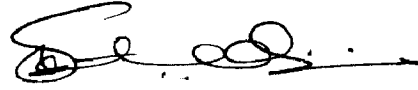
☒ executed on even date herewith☐ Serial No. , filed

including (i) authorization to file corresponding applications for patents or other similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, said interest being the entire ownership of the said Letters Patent or similar legal protection when granted, to be held and enjoyed by Ciba Specialty Chemicals Corporation, its successors, assigns or other legal representatives, to the full end of the term for which said Letter Patent or similar legal protection may be granted, and hereby further covenant and agree that I/we will at any time upon request, without charge to, but

24 6/97

at the expense of Ciba Specialty Chemicals Corporation, execute such additional assignments and other lawful papers as Ciba Specialty Chemicals Corporation, may deem necessary or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths, and generally do everything possible to aid Ciba Specialty Chemicals Corporation, its successors, assigns, and legal representatives, to obtain and enforce proper patent protection for said invention.

Signed at Newport, DE, <sup>USA</sup> this 19<sup>th</sup> day of October 1999.



Shivakumar Basalingappa Hendi