

10-30-2001



COVER SHEET

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LUT 2 0024

To the Honorable Commissioner

101890066

attached original documents or copy thereof.

1. Name of conveying party(ies):

Lucent Technologies Inc.

10-9-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: January 30, 2001; January 31, 2001

2. Name and address of receiving party(ies):

Name: Agere Systems Guardian Corp.

Internal Address: _____

Street Address: Suite 105, 14645 N.W. 77th Avenue

City: Miami Lakes State: FL Zip: 33014

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s): 09/428,689

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard J. Minnich

Internal Address:

Fay, Sharpe, Fagan,
Street Address: Minnich & McKee, LLP
1100 Superior Avenue
Seventh Floor

City: Cleveland State: Ohio Zip: 44114

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 501735

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard J. Minnich

Reg. No. 24,175

Name of Person Signing

Signature

October 5, 2001
Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D. C. 20231

10/29/2001 6TON11 00000046 501735 09428689
01 FC:581 40.00 CH

PATENT
REEL: 012283 FRAME: 0881

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).


ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Hermann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and
b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name **TAMORA ANNE HANNA**
Notary Public Notary Public of New Jersey
My Commission Expires **Registered in Hunterdon County**
[Notarial Seal] My Commission Expires March 25, 2002

STATE OF FLORIDA)

: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name
Notary Public
My Commission Expires:
[Notarial Seal]



APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Hamm 12-14-8-7-5	11/4/1999			09/433204
Hamm 3-7-2	4/13/1998		08/059359	
Hamparian 2	4/13/1998	7/18/2000	6091279	
Han 1	11/7/1997		08/965706	
Han 2	10/27/1999			09/428073
Hanumaihgari 1-1-1-1-9-6-1-1-1-2	5/10/1999			60/133396
Hao 1-1	12/5/1997		08/985975	
Haratsch 1-4	6/4/1999			09/326785
Hardin 8-24	3/29/1999			09/280169
Harman 5	10/30/1998			09/182885
Harman 6	8/11/1999			09/372332
Harpham 1-1	3/26/1999			09/277488
Harriott 11-22	8/27/1999			09/384395
Harris 1	7/10/1997		08/891438	
Harris 2-2-1	10/29/1999			09/430635
Harris 2-47-1	9/29/1999			09/408299
Harris 3	9/23/1999			09/404702
Harris 3	12/28/1999			09/473876
Harris 4-1	10/28/1999			09/428689
Harris 5-21-18-5	12/17/1999			09/466715
Harris 8-138-7	8/31/2000		09/652479	
Hartley 2	11/23/1999			09/448611
Hartmann 3-3	11/7/1996	3/14/2000	6038300	
Hartmann 6-5	8/13/1999			09/374400
Havens 10	6/21/1999			08/337489
Havens 11-8-1	1/28/2000			09/493287
Havens 6	8/24/1998		09/138702	
Havens 7	8/24/1998		09/138701	
Havens 9-4	6/23/1999			09/339326
Haynes 15-17	4/10/1998		09/058505	
Heckner 1-2-3	6/12/1998		09/096900	
Hendricks 10-36-17-16	9/23/1999			09/401995
Hendricks 11	10/27/1999			09/428086
Hendricks 3	4/7/1998		09/056722	
Hendricks 6-8	4/26/1999			09/299089
Hendricks 7-30-11	7/30/1999			09/363005
Hendricks 8-31-12-15	8/24/1999			09/379603
Hendricks 9-32-13	9/23/1998			09/401994
Henry 1	10/9/1998			09/169794
Henry 5-3	1/19/2000			09/487117
Henzen 1-1	10/15/1999			09/418457
Hergenrother 2-5	6/18/1999			09/335646
Hergenrother 3-6-10	6/18/1999			09/335707
Hergenrother 4-1-7	5/11/2000			09/569186
Herman 1	8/10/2000		60/224134	
Hernandez 1-1-1	10/29/1999			09/430147
Herrera 1-3	9/29/1999			09/407533
Herrera 2-4	9/29/1999			09/407535
Herrera Alzu 1-3-5-12	12/13/1999			09/460159
Higashi 10-1-2-2	2/22/1999			09/253715
Higashi 11	7/30/1999			09/364367
Higashi 12	7/30/1999			09/364208
Higashi 13	11/15/1999			60/165542
Higgins 10-12-18	10/13/1998			09/170351
Higgins 11-13-1-2-19	10/13/1998			09/170353
Higgins 16-8	11/4/1999		08/907406	
Hillenius 19-25	8/7/1997	7/15/1997	D381024	
Hinzmann 1-1-10-2	6/28/1995			09/233580
Hobbi 2	1/19/1999			