

10-31-2001



ENTER

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101890886

Attorney Docket No.: 82874.0007

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Tallis Y. Chang
2) Leo Lam
3) Graham Martin

10/19/01

2. Name and address of receiving party(ies):

Chromux Technologies, Inc.
9255 Deering Avenue
Chatsworth, California 91311

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 9, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No: 09/907,496

Filed: July 16, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

William H. Wright, Esq.
HOGAN & HARTSON L.L.P.
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-1314

(Attach duplicate copy of this page if paying by deposit account)

10/30/2001 RANMED1 00000117 09907496

01 FC:581

40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

William H. Wright

Date: October 16, 2001

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

- (1-8) *Insert Name(s) of Inventor(s)*
- | | | |
|-----|-----------------|-----|
| (1) | Tallis Y. Chang | (5) |
| (2) | Leo Lam | (6) |
| (3) | Graham Martin | (7) |
| (4) | | (8) |
- In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to
- (9) *Insert name of Assignee* (9) Chromux Technologies, Inc.
- (10) *Insert state of Incorporation of Assignee* (10) California
- (11) *Insert address of Assignee* (11) of 9255 Deering Avenue, Chatsworth, California 91311
(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as
- (12) *Insert identification of Invention, such as Title, Case Number or Foreign Application Number* (12) SWITCH AND VARIABLE OPTICAL ATTENUATOR FOR SINGLE OR ARRAYED OPTICAL CHANNELS, Serial No. 09/907,496, for which the undersigned has (have) executed an application for patent in the United States of America and all patent applications in foreign countries corresponding thereto or based thereon.
- (13) *Insert Date of Signing of Application* (13) on October 9, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with any original, reissue, divisional and continuing United States and foreign applications for the above-identified invention and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed any agreement in conflict herewith.

6) The undersigned hereby appoints ☒ Customer Number

000026021



26021

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 10/9/01 Signature of Inventor: Tallis Y. Chang

Date: 10/9/01 Signature of Inventor: Leo Lam

Date: 10/9/01 Signature of Inventor: Graham Martin

Date: _____ Signature of Inventor: _____