

10-31-2001

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(Rev. 03/01)

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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stuart A. Sanders
Kirk D. Stackhouse
Lisa V. O'Connor

10/19/01

2. Name and address of receiving party(ies)

Name: UNITED TECHNOLOGIES CORPORATION

Internal Address: United Technologies Building
Hartford, CT 06101Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: _____

City: _____ State: _____ Zip: _____

Execution Date: 8/21/01, 9/9/01, 8/24/01,
respectivelyAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/943,321, filed August 30, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry L. Kelmacher

Internal Address: BACHMAN & LaPOINTE, P.C.

900 Chapel Street, Suite 1201

New Haven, CT 06510-2802

Street Address: _____

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-0184

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry L. Kelmacher

Name of Person Signing

Signature

October 17, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 2023110/30/2001 ANNED1 00000123 09943321
01 FC:581

40.00 EP

PATENT
REEL: 012288 FRAME: 0566

ASSIGNMENT

WHEREAS, We, STUART A. SANDERS, of 8030 Via Hacienda, Palm Beach Gardens, FL 33418, KIRK D. STACKHOUSE, of ✓12 GRANDVIEW TERRACE, PORTLAND, CT 06480, and LISA V. O'CONNOR, of 11532 165th Road No., Jupiter, FL 33478, have invented certain improvements in an ABRADABLE SEAL HAVING IMPROVED DURABILITY, identified as Attorney Docket No. 01-414, and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

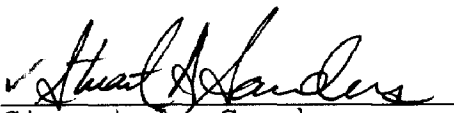
WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application

filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) 
Stuart A. Sanders

✓ 8/21/01
Date

(L.S.) _____
Kirk D. Stackhouse

Date

(L.S.) _____
Lisa V. O'Connor

Date

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.)

Stuart A. Sanders

Date

(L.S.)

✓ Kirk D. Stackhouse
Kirk D. Stackhouse

✓ 9 Sept 2001
Date

(L.S.)

Lisa V. O'Connor

Date

IN TESTIMONY WHEREOF, the undersigned have-hereunto set
their hand and seal on the date after their signature.

(L.S.) Stuart A. Sanders

Date

(L.S.) Kirk D. Stackhouse

Date

(L.S.) ✓ Lisa V. O'Connor

✓ 8/24/01
Date