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518 Rec'd PCT/PTO 9 7 SEP 2001

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11-01-2001

SHEET

09/936004

To the Honorable Commissioner of



attached original documents or copy thereof.

1. Name of conveying party(ies): 101891320 address of receiving party(ies):

Ulrich POTH

9-7-01

Name: BASF Coatings Aktiengesellschaft

Internal Address: Patent Department

Street Address: Glasuritstrasse 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

☐

Execution Date: Aug. 10th 2001;  
7.8.2001; 7.8.2001 Day/month/year

Additional numbers attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 9/6/01

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5515

Application No.

09936004

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

5a. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan

Internal Address: BASF Corporation

Street Address: 26701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

8. Deposit account number: 23-3425

Enclosed

Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)  
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

09/13/2001 ATRAM1 00000001 233425 09936004

03 FC:581

40.00 CH

Michael F. Morgan, 42,906

Name of Person Signature

*Michael F. Morgan*

Signature

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ET322159239US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on September 7, 2001

Date

-7-

Total number of pages comprising cover sheet: -----

PATENT  
REEL: 012289 FRAME: 0671

**SECOND PAGE OF RECORDATION FORM COVER SHEET**  
**Patent only**

**Additional Name(s) of Conveying Party(ies)**

**2. Ulrike RÖCKRATH**

**3. Ingrid HEID**

**4.**

**5.**

**6.**

**7.**

**ASSIGNMENT**

WHEREAS, I (WE), Ulrich POTH, Ulrike RÖCKRATH, Ingrid HEID residing at Akbacgteberstrasse 97d, D-48163 Münster, Federal Republic of Germany; Vikarsbusch 8, D-48308 Senden, Federal Republic of Germany; Marientalstrasse 34, D-48149 Münster, Federal Republic of Germany; (declarant(s)) declare that I (WE) have invented certain improvements in: POLYURETHANE AND ITS USE FOR PRODUCING SOLVENT-FREE COATING SUBSTANCES hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 0/\_\_\_\_\_, filed on September 7, 2001 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

**Docket: IN-5515**

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

**Title: POLYURETHANE AND ITS USE FOR PRODUCING  
SOLVENT-FREE COATING SUBSTANCES**

**Docket: IN-5515**

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

**Dated:** Aug. 10th. 2001

**Signed:** Ulrich Poth  
**Typed Name:** Ulrich POTH

**Witnessed:** Bettina Heinhold

**Witnessed:** B. DZ

**Name** Bettina Heinhold  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Name** Bettina Kneuert  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

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**Dated:** 7.8. 2001

**Signed:** Ulrike Röckrath  
**Typed Name:** Ulrike RÖCKRATH

**Witnessed:** Bettina Heinhold

**Name** Bettina Heinhold  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** Birgit Gohmann

**Name** Birgit Gohmann  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

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**Dated:** 7.8 2001

**Signed:** Ingrid Heid  
**Typed Name:** Ingrid HEID

**Witnessed:** Bettina Heinhold

**Name** Bettina Heinhold  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** Birgit Gohmann

**Name** Birgit Gohmann  
**Address** Glasuritstr. 1  
48165 Münster  
Germany