

11-13-2001



101895047

Docket No.: 500.38690X00

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Assistant Commissioner for Patent

the attached original documents or copy thereof.

1. Name of conveying party(ies): Hitachi Air Conditioning Systems, Co., Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

11/7/01

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Trust Agreement

Execution Date: October 22, 2001

2. Name and address of receiving party(ies):

Name: Hitachi, Ltd, trustee for the benefit of Hitachi Air Conditioning Systems, Co., Ltd.

Internal Address:

Street Address: 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan and 23-2, Kanda Sudacho 1-chome, Chiyoda-ku, Tokyo, Japan

City: Country: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) US Serial No.
09/599,739, filed June 23, 2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP

Internal Address:

Street Address: 1300 North Seventeenth Street
Suite 1800

City: Arlington, State: Virginia Zip: 22209

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

- ☒ Enclosed
☒ Any deficiencies may be charged to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number: 01-2135

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Alan E. Schiavelli

Name of Person Signing

Attorney Registration No. 32,087

Signature

11/7/01

Date

Total number of pages including cover sheet, attachments, and document: 4

OMB No. 0651-0011 (exp. 4/94)

11/08/2001 BNGUYEN1 00000031 09599739

02 FC:581

40.00 DP

PATENT
REEL: 012298 FRAME: 0521

EL 305

Trust Agreement

Date: October 22, 2001

This Trust Agreement is entered by and between the Trustor and the Trustee concerning the right to receive any patent(s) on the invention entitled "Air-Conditioner, Outdoor Unit and Refrigeration Unit" issuing from United States Patent Application Serial NO. 09/599,739 filed on June 23, 2000, and any divisions, continuations, or reissues thereof in accordance with the following terms of trust (hereinafter "the patent(s)").

1. The Trustor hereby assigns to the Trustee the right to receive the patent(s) for the above-mentioned inventions for the purpose of entrusting to the Trustee the management and disposal of the trust property on behalf of the Beneficiary, and the Trustee hereby accepts such assignment.
2. The Beneficiary of this trust shall be as follows:
Address: 23-2, Kanda Sudacho 1-chome, Chiyoda-ku, Tokyo
101-0041 Japan
Name: HITACHI AIR CONDITIONING SYSTEMS CO., LTD.
President and director, Toyooki SOEJIMA
3. Purpose of trust
To maintain, manage and dispose of the right owned by the Trustor to receive the patent(s) for the above-mentioned invention.
4. Method for management of trust property
Any and all acts necessary for maintenance, management and disposal of the right to receive the patent(s) for the above-mentioned invention.
5. Reason for terminal of the trust
This Trust Agreement shall continue in effect for a period of full one year from the date of execution hereof.

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This Trust Agreement shall also continue in effect for further one year under the same terms and conditions unless either of the Trustor of the Trustee shall notify the other party to the contrary not later than 30 days before expiration hereof, and the same shall apply to subsequent expiration.

6. Other terms of trust

- (1) The Trustor shall pay to the Trustee necessary expenses for accomplishment of the above-mentioned purpose during the period of trust.
- (2) The Beneficiary shall be entitled to receive all earnings from exercise or otherwise disposal of the right to the patent(s) in trust.
- (3) The Trustor may give directions to the Trustee as to the method for maintenance, management and disposal of the trust property, and the Trustee may not dispose of the patent(s) in trust against the intention of the Trustor.
- (4) Even during the effective term of this Trust Agreement stipulated in the preceding Article, the Trustor may terminate this Trust Agreement by giving 30 days advance notice in writing to the Trustee, or the Trustee may terminate this Trust Agreement with the Trustor in the event of arising any situation where it becomes extremely difficult for the Trustee to perform its obligations under this Trust Agreement.
- (5) In the event of arising any situation where it becomes extremely difficult for the Trustor or the Trustee to perform its obligations under this Trust Agreement, any of the terms and conditions in this Trust Agreement may be changed through conference between the Trustor and the Trustee.

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Trustor:

Name: HITACHI AIR CONDITIONING SYSTEMS CO., LTD.

Address: 23-2, Kanda Sudacho 1-chome, Chiyoda-ku, Tokyo 101-0041 Japan

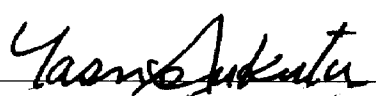


Toyooki SOEJIMA
President and director

Trustee:

Name: HITACHI, LTD.

Address: 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo 101-0062 Japan



Yasuo SAKUTA, Patent Attorney
Executive Managing Director,
Intellectual Property Group
(Authorized Signing Officer)