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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies): *10/09/01*  
**Yoshinori Katoh, Hirokazu Kitayama and Yasuo Shirasaki**  
 Additional names of conveying parties attached?  Yes  No

2. Name and address of receiving party(ies):  
 Name: **Nippon Kayaku Kabushiki Kaisha**  
 Address: **11-2, Fujimi 1-chome, Chiyoda-ku Tokyo 102-8172 Japan**  
 Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
 Execution date: **August 23, 2001**

4. Application number(s) or patent number(s): *09/958554*  
 If this document is being filed together with a new application, the execution date of the application is: **August 23, 2001**  
 A. Patent Application No.(s) B. Patent No.(s):  
 Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:  
**Kevin S. Lemack  
 Nields & Lemack  
 176 East Main Street  
 Westborough, MA 01581**

6. Total number of applications and patents involved: **1**  
 7. Total fee (37 CFR 3.41): **\$40.00**  
 Enclosed  
 Authorized to be charged to deposit account  
 8. Deposit account number: **14-0930**

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Kevin S. Lemack *[Signature]*  
 Name of person signing Signature  
 October 9, 2001  
 Date

Attorney Docket No.: **576P041** Total number of pages including cover sheet, attachments and document: **4**

ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to us, **Yoshinori KATOH**, a citizen of Japan, residing at at 2-1639-1, Nisshin-cho, Omiya-shi, Saitama 331-0044 JAPAN; **Hirokazu KITAYAMA**, a citizen of Japan, residing at 2-336-322, Kitabukuro-cho, Omiya-shi, Saitama 330-0835 JAPAN; **Yasuo SHIRASAKI**, a citizen of Japan, residing at 61-7, Minaminakano, Omiya-shi, Saitama 330-0826 JAPAN; by **NIPPON KAYAKU KABUSHIKI KAISHA**, a corporation organized under the laws of Japan, located at 11-2, Fujimi 1-chome, Chiyoda-ku, Tokyo 102-8172 Japan, receipt of which is hereby acknowledged, we, the said, **Yoshinori KATOH**, **Hirokazu KITAYAMA** and **Yasuo SHIRASAKI** do hereby sell and assign to said **NIPPON KAYAKU KABUSHIKI KAISHA**, its successors and assigns, all our rights, title and interest, in and for the United States of America, in and to

**"PHTHALOCYANINE COMPOUNDS, WATER-BASE INK COMPOSITIONS AND COLORED PRODUCTS"** invented by, **Yoshinori KATOH**, **Hirokazu KITAYAMA** and **Yasuo SHIRASAKI** and described in the application for United States Letters Patent based thereon executed by us on the 23rd day of August, 2001 (PCT/JP00/02696; filed 25 April, 2000) and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said **NIPPON KAYAKU KABUSHIKI KAISHA**, its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made;

And we hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the

preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension or interference proceedings, or otherwise to secure the title thereto in said assignee;

And we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said **NIPPON KAYAKU KABUSHIKI KAISHA**.

Signed on the dates indicated aside our signatures:

**INVENTORS**

**DATE SIGNED**

**WITNESSES**

Yoshinori Kato

August 23, 2001

Emi Dokujo

Yoshinori KATO

Hirokazu Kitayama

August 23, 2001

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