



11-19-2001

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101897448
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
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Document ID#
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Conveyance Type

- ☐ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☒ Merger ☐ Other

U.S. Government
For Use ONLY by U.S. Government Agencies
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) **SEQUUS Pharmaceuticals Inc.**

March 17, 2000

Name (line 2) **A Corporation of Delaware**

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) **ALZA Corporation**

Name (line 2) **A Corporation of Delaware**

Address (line 1) **1900 Charleston Rd.**

Address (line 2) **P.O. Box 7210**

Address (line 3) **Mountain View**

CA

State/Country

94039-7210

Zip Code

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
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Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

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Address (line 4)

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I hereby certify that this correspondence is being HAND-CARRIED to the U.S. Patent and Trademark Office in Washington, D.C.,
on 11/14/01

By: [Signature]

11/16/2001 6TOM11 00000075 4822777

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120.00 DP

Correspondent Name and Address

Area Code and Telephone Number

(650) 843-5000

Name **Cooley Godward LLP**

Address (line 1) **Five Palo Alto Square**

Address (line 2) **3000 El Camino Real**

Address (line 3)

Address (line 4) **Palo Alto, California 94306-2155**

Pages

Enter total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

4,822,777	5,032,582	5,194,266

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

3

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ **120.00**

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☒

Deposit Account ☐

Deposit Account Number:

03-3117

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Karen E. Flick, Reg. # 44.111

Name of Person Signing

Karen E. Flick

Signature

November 13, 2001

Date

State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"SEQUUS PHARMACEUTICALS, INC.", A DELAWARE CORPORATION, WITH AND INTO "ALZA CORPORATION" UNDER THE NAME OF "ALZA CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE SEVENTEENTH DAY OF MARCH, A.D. 2000, AT 10:45 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2119669 8100M

001135404

Edward J. Freel
Edward J. Freel, Secretary of State

AUTHENTICATION: 0323102

DATE: 03-17-00

PATENT

REEL: 012302 FRAME: 0284

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 10:45 AM 03/17/2000
001135406 - 2119669

**CERTIFICATE OF OWNERSHIP AND MERGER
MERGING
SEQUUS PHARMACEUTICALS, INC.
INTO
ALZA CORPORATION**

(Pursuant to Section 253 of the General
Corporation Law of Delaware)

ALZA Corporation, a Delaware corporation (the "Corporation"), does hereby certify:

FIRST: That the Corporation is incorporated pursuant to the General Corporation Law of the State of Delaware.

SECOND: That the Corporation owns all of the outstanding shares of each class of capital stock of SEQUUS Pharmaceuticals, Inc., a Delaware corporation.

THIRD: That the Corporation, by the following resolutions of its Board of Directors, duly adopted on the 8th day of February, 2000, determined to merge into itself SEQUUS Pharmaceuticals, Inc. on the conditions set forth in such resolutions:

RESOLVED: That ALZA Corporation (the "Company") merge into itself its wholly owned subsidiary, SEQUUS Pharmaceuticals, Inc. and assume all of said subsidiary's liabilities and obligations;

FURTHER RESOLVED: That the officers of this Company be, and they hereby are, directed to make, execute and acknowledge a certificate of ownership and merger setting forth a copy of the resolutions to merge said SEQUUS Pharmaceuticals, Inc. into the Company and to assume all of said subsidiary's liabilities and obligations and the date of adoption thereof and to file the same in the office of the Secretary of State of Delaware; and

FURTHER RESOLVED: That the officers of the Company be, and each of them hereby is, authorized, empowered and directed to do or cause to be done all such acts or things and to sign and deliver, or cause to be signed and delivered, all such other documents, instruments and certificates, in the name and on behalf of the Company or otherwise, as any such officer may deem necessary, advisable or appropriate to effect the performance and consummation of the foregoing resolutions.

IN WITNESS WHEREOF said ALZA Corporation has caused this
certificate to be executed in its corporate name this 17th day of March, 2000.

ALZA Corporation

By: 

Peter D. Staple

Title: Executive Vice President

3:11 PM on 03/17/2000

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