Form PTO-1595 RECORDATION FO	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE		
orm PTO-1595 RECORDATION FORM COVER SHEET Question of the companies of th			
Tab Settings □ □ □	* * * * *		
To the Honorable Commissioner of Patents and Trademarks	Please record the attached original documents or copy thereof.		
Name of conveying party(ies):	Name and address of receiving party(ies)		
Sakalian, Michael Hunter, Eric	Name: The UAB Research Foundation		
Additional name(s) of conveying party(ies) attached? Yes X No	Internal Address: A corporation of the State of Alabama		
3. Nature of conveyance:			
☐ Security Agreement ☐ Change of Name	Street Address: 1120-G Administration Building		
☐ Other	701 – 20 th Street South		
	City: <u>Birmingham</u> State: <u>AL</u> Zip: <u>35294-011</u> 1		
Execution Date: October 17, 2001	Additional name(s) & address(es) attached? 🔲 Yes 🛛 No		
Application number(s) or patent number(s):			
If this document is being filed together with a new applic	ation, the execution date of the application is:		
A. Patent Application No.(s) 09/968,355	B. Patent No.(s)		
·	attached? ☐ Yes ☒ No		
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved:		
concerning document should be mailed:			
Name: <u>Frank C. Eisenschenk, Ph.D.</u>	7. Total fee (37 CFR 3.41) \$ 40.00 Enclosed		
Internal Address: Saliwanchik, Lloyd & Saliwanchik	Authorized to be charged to deposit account		
A Professional Association	Deposit account number:		
Street Address: <u>2421 N.W. 41st Street, Suite A-1</u>			
	19-0065		
City: Gainesville State: FL Zip: 32606	(Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE			
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a			
true copy of the original document.			
Frank C. Elsenschenk, Ph.D. Frank C. Gold Chew January 15, 2002			
Name of Person Signing Sig	gnature Date		
Total number of pages including cover sheet, attachments, and	documents: 7 Atty Docket No. UAB-100XC1		

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, O.C. 20231

PATENT REEL: 012307 FRAME: 0683

ASSIGNMENT

WHEREAS, we, the undersigned, residing at the indicated addresses given below, respectively, have invented certain new and useful improvements in CHIMERIC RETROVIRAL GAG GENES AND SCREENING ASSAYS, for which an application for United States Letters Patent was

☐ signed by us as dated below.
☑ filed September 28, 2001, as Serial No.

WHEREAS, THE UAB RESEARCH FOUNDATION, a corporation of the State of Alabama, having a place of business at 1120-G Administration Building, $701 - 20^{th}$ Street South, Birmingham, AL 35294-0111, is desirous of acquiring the entire right, title, and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in view of my employment contract with the University of Alabama at Birmingham and THE UAB RESEARCH FOUNDATION's review and evaluation of my patent disclosure and other valuable consideration, receipt of which is hereby acknowledged, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto THE UAB RESEARCH FOUNDATION, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to THE UAB RESEARCH FOUNDATION, as the assignees of the entire right, title, and interest in and to the same, for the sole use and behoof of THE UAB RESEARCH FOUNDATION, its successors and assigns.

FURTHER, We agree that we will communicate to THE UAB RESEARCH FOUNDATION, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to THE UAB RESEARCH FOUNDATION; make all rightful oaths; and generally do everything possible to aid THE UAB RESEARCH FOUNDATION, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Page 1 of 3

DOCKEL NO. CAD-100XC1
I hereby authorize and request my attorney, Frank C. Eisenschenk, Ph.D., of Saliwanchik,
Lloyd & Saliwanchik, 2421 N.W. 41st Street, Suite A-1, Gainesville, Florida 32606-6669, to insert
here in parentheses (Application number 09/968,355 , filed September 28, 2001)
the application number of said application when known.
IN TESTIMONY WHEREOF, I have hereunto set my hand this/7 th day of, 2001.
Signed Michael Sakalian Michael Sakalian Address: 2600 Tealwood Drive, Apt. 1712 Oklahoma City, OK 73120
State of Oklahoma) County of Chahoma)
On this 17 day of 2001, personally appeared before me the above- named MICHAEL SAKALIAN, to me known and known to me to be the person described in the foregoing instrument, who executed the toregoing instrument, and who severally acknowledged the
same to be his free act and deed in and for the purposes set forth in said instrument.
Notary Public
My Commission Expires:

Page 2 of 3

SEAL

11/04/03

	To a C. Eineacharla Dh.D. of Saliwanchik
	attorney, Frank C. Eisenschenk, Ph.D., of Saliwanchik,
Lloyd & Saliwanchik, 2421 N.W. 41st Stree	et, Suite A-1, Gainesville, Florida 32606-6669, to insert
here in parentheses (Application number _	, filed September 28, 2001)
the application number of said application	
**	
IN TESTIMONY WHEREOF, I ha	we hereunto set my hand this day of
<u>October</u> , 2001.	
Signe	ed
Address:	Eric Hunter 2355 Tyrol Place
Address.	Vestavia Hills, AL 35216
CA-4- +E	
State of)	
County of	
	, 2001, personally appeared before me the above-
named ERIC HINTER, to me known and l	known to me to be the person described in the foregoing
instrument, who executed the foregoing ins	trument, and who severally acknowledged the same to be
his free act and deed in and for the purpose	es set forth in said instrument.
	N
	Notary Public
My Commission Expires:	
-	
SEAL	

ASSIGNMENT

WHEREAS, we, the undersigned, residing at the indicated addresses given below, respectively, have invented certain new and useful improvements in CHIMERIC RETROVIRAL GAG GENES AND SCREENING ASSAYS, for which an application for United States Letters Patent was

🗖 signed by us as dated below.	•
🖾 filed September 28, 2001, as Serial No	

WHEREAS, THE UAB RESEARCH FOUNDATION, a corporation of the State of Alabama, having a place of business at 1120-G Administration Building, 701 - 20th Street South, Birmingham, AL 35294-0111, is desirous of acquiring the entire right, title, and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in view of my employment contract with the University of Alabama at Birmingham and THE UAB RESEARCH FOUNDATION's review and evaluation of my patent disclosure and other valuable consideration, receipt of which is hereby acknowledged, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto THE UAB RESEARCH FOUNDATION, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to THE UAB RESEARCH FOUNDATION, as the assignees of the entire right, title, and interest in and to the same, for the sole use and behoof of THE UAB RESEARCH FOUNDATION, its successors and assigns.

FURTHER, We agree that we will communicate to THE UAB RESEARCH FOUNDATION, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to THE UAB RESEARCH FOUNDATION; make all rightful oaths; and generally do everything possible to aid THE UAB RESEARCH FOUNDATION, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Page 1 of 3

		Docket No	, UAB-100XC1
Lloyd & Saliwanchik, 2421	N.W. 41 st Stre ation number _	attorney, Frank C. Eisenschenk, Ph.D., et, Suite A-1, Gainesville, Florida 32600, filed Septe when known.	6-6669, to insert
IN TESTIMONY V	VHEREOF, I h	ave hereunto set my hand this	day of
Add	Sign ress:	Michael Sakalian 2600 Tealwood Drive, Apt. 1712 Oklahoma City, OK 73120	_
named MICHAEL SAKAI	JAN, to me kn executed the fo	, 2001, personally appeared before nown and known to me to be the person pregoing instrument, and who severally a tr the purposes set forth in said instrumen	described in the cknowledged the
		Notary Public	_
·	ssion Expires:		
SEAL			

Page 2 of 3

I hereby authorize and request my attorney, Frank C. Eisenschenk, Ph.D., of Saliwanchik,
Lloyd & Saliwanchik, 2421 N.W. 41 st Street, Suite A-1, Gainesville, Florida 32606-6669, to insert
here in parentheses (Application number 09/968, 355 , filed September 28, 2001)
the application number of said application when known.
IN TESTIMONY WHEREOF, I have hereunto set my hand this 17 the day of
Signed Signed .
Address: 2355 Tyrol Place Vestavia Hills, AL 35216
State of <u>Alphana</u>) County of <u>Jefferson</u>)
On this 17th day of 3ct above, 2001, personally appeared before me the above-named ERIC HUNTER, to me known and known to me to be the person described in the foregoing instrument, who executed the foregoing instrument, and who severally acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.
Mu B Perkim Notary Hublic
My Commission Expires: Notary public state of alabama at large My Commission Expires: Apr. 28, 2003 BONDED THE NOTARY PUBLIC UNDERWRITERS SEAL

Page 3 of 3

RECORDED: 01/15/2002