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1 SHEET

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To the Honorable Assistant Secretary or copy(ies) thereof.

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101899123

1. Name of conveying party(ies):

Hidekazu SHODAI, Noboru NAGAFUKU, Shuichi MATSUDA and Yusuke SUZUKI

Additional name(s) of conveying party(ies) attached? NO

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 31, September 6 and 9, 2001

2. Name and address of receiving party(ies):

Name: SHIONOGI & CO., LTD.

Street Address: 1-8, Doshomachi 3-chome, Chuo-ku, Osaka-shi, Osaka 541-0045, Japan

City: Country:

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 31, September 6 and 9, 2001

A. Patent Application No.(s)
NEW, filed October 11, 2001B. Patent No.(s)
, issued

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WENDEROTH, LIND & PONACK, L.L.P.
Attn: Warren M. Cheek, Jr., Esq.

Street Address: 2033 K Street, N.W., Suite 800

City: Washington, State: DC ZIP: 20006-1021

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). \$40.00

☒ Enclosed (Check No. 46918)
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8. Deposit account number: 23-0975

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Warren M. Cheek, Jr., Reg. No. 33,367
Name of Person Signing

Signature

October 11, 2001
Date

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ATTACHMENT E

PATENT
REEL: 012309 FRAME: 0851

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Hidekazu SHODAI

Noboru NAGAFUJI

**Insert Name(s)
of Inventor(s)**

Shuichi MATSUDA

Yusuke SUZUKI

the undersigned hereby sell(s) and assign(s) to

**Insert Name(s)
of Assignee(s)**

Shionogi & Co., Ltd.

Address

of 1-8, Doshomachi 3-chome, Chuo-ku, Osaka-shi, Osaka 541-0045 JAPAN

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

**Title of
Invention**

A METHOD FOR PREPARATION OF PHARMACEUTICAL COMPOSITIONS COMPRISING
BASIC HYDROPHOBIC MEDICAL COMPOUNDS

**Date of Signing
of Application**

for which an application for patent in the United States has been executed by the undersigned on
August 31, 2001, September 6, 2001 and September 9, 2001

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, DC 20006, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date August 31, 2001, Name of Inventor Hidekazu Syodai
Date Sept. 9, 2001, Name of Inventor Notaru Nagafuji
Date August 31, 2001, Name of Inventor Shuichi Matsuda
Date Sept. 6, 2001, Name of Inventor Yasuko Suzuki
Date _____, Name of Inventor _____
Date _____, Name of Inventor _____

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness Ken-ichi Sugita
Witness Hideaki Yamauchi Ken-ichi SUGITA
Hideaki YAMAUCHI

ACKNOWLEDGEMENT

_____ } ss

This _____ day of _____, 19_____, before me
personally came the above-named _____

to me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

SEAL

Official Signature

Official Title

The above application may be more particularly identified as follows:

U.S. Application Serial No. _____ Filing Date _____
Applicant Reference Number _____ Atty Docket No. _____
Title of Invention _____
