11-27-2001 FORM **PTO-1595** U.S. DEPARTMENT OF COMMERCE **REC**(EET (Rev. 6-93) Patent and Trademark Office OMB No. 0651-0011 (exp. 4/94) 101901931 Tab settings □ □ □ ▼ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Neil Oehlert Estate and Name: Neovial, L.L.C. Carolyn Oehlert Internal Address:_ Additional name(s) of conveying party(ies) attached? U Yes 2 No 3. Nature of conveyance: Street Address: 12810 Izard St. Assignment □ Merger Security Agreement ☐ Change of Name City: Omaha State: NE ZIP: 68154 U Other Execution Date: October 9, 2001 Additional name(s) & address(es) attached? 🗀 Yes 🐧 No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 5,180,072 Additional numbers attached?

Yes X No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: D. A. N. Chase 7. Total fee (37 CFR 3.41).....\$ 40.00 Name: Chase & Yakimo, L.C. Internal Address: M Enclosed Authorized to be charged to deposit account Street Address: 4400 College Blvd., #130 8. Deposit account number: 03-1425

DO NOT USE THIS SPACE

City: Overland Park State: KS ZIP: 66211

Statement and signature.
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

D. A. N. Chase

Name of Person Signing

Signature

10/31/01 Date

Total number of pages including cover sheet, attachments, and document:

11/27/2001 AAHNED1 00000016 5180072

40.00 AD

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

> PATENT REEL: 012312 FRAME: 0394

(Attach duplicate copy of this page if paying by deposit account)

ASSIGNMENT OF PATENT

WHEREAS, NEIL L. OEHLERT, then a resident of 2326 N. 81st Street, Omaha, Nebraska 68134, was the inventor of certain inventions and devices (the "Inventions") and in connection therewith submitted a patent application on July 23, 1990, and was subsequently issued that certain United States Patent, as identified on <u>Schedule A</u> hereto (the "Patent");

WHEREAS, Neil L. Oehlert died on November 16, 1999;

WHEREAS, Carolyn Oehlert is the personal representative of Neil L. Oehlert's estate (the "Neil Oehlert Estate") and is the sole beneficiary of the Neil Oehlert Estate (as asserted in that certain Affidavit of Carolyn Oehlert dated October $\frac{G}{2}$, 2001);

WHEREAS, NEOVIAL, L.L.C., a Nebraska limited liability company, having its principal offices at 12810 Izard St., Omaha, Nebraska 68154, is desirous of acquiring said Patent and Inventions;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the NEIL OEHLERT ESTATE and CAROLYN OEHLERT hereby sell, transfer, assign and set over to NEOVIAL, L.L.C. the entire right, title and interest in and to said Patent and Inventions in the United States and throughout the world, including any reissues and extensions thereof, together with all rights of recovery for past, present or future infringement thereof, and all income, royalties or payments due as of the date hereof or hereafter.

IN WITNESS WHEREOF, this instrument has been executed this $\frac{\mathcal{G}}{\mathcal{G}}$ day of October, 2001 by the undersigned, to be effective as of January 5, 2001.

NEIL OEHLERT ESTATE

By: ((UV)) Name: Carolyn Oehlert

Title: Personal Representative

and

Carolyn Oehlert Sole Benefician

STATE OF

COUNTY OF WOULD) ss:

On this _______ day of October, Carolyn Oehlert personally appeared before me, who is known to me to be the personal representative of the NEIL OEHLERT ESTATE, and the sole beneficiary thereof, the Assignor above named, and acknowledged that she executed the foregoing Assignment of Patent on behalf of said Assignor and pursuant to authority duly received.

GENERAL NOTARY-State of Nebraska
JERRY ANDERSON
My Comm. Exp. Jan. 7, 2008

Notary Public

#210584.1

Schedule A

ASSIGNED PATENT

PATENT NO.	TITLE	ISSUE DATE		
5,180,072	Safety Container and Closure System with Child Resistance	January 19, 1993		

AFFIDAVIT REGARDING ASSIGNMENT OF PATENT

PATENT NO.: 5,180,072

DATE: January 19, 1993 INVENTOR: Neil L. Oehlert

PATENT: Safety Container and Closure System with Child

Resistance

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

STATE OF

) ss.

COUNTY OF

Carolyn Oehlert, personal representative of the Estate of Neil L. Oehlert, Inventor, and sole beneficiary of such Estate, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this document, makes the following declarations:

- 1. That the above referenced Patent, and all right, title and interest therein and in the invention set forth in such Patent, was owned by Neil L. Oehlert the identified inventor;
- 2. That Neil L. Oehlert died on November 16, 1999, and a true and correct copy of the Neil L. Oehlert's death certificate is attached hereto as Attachment A;
- That Carolyn Oehlert is the personal representative of the Estate of Neil L. Oehlert, as indicated by the Last Will and Testament of Neil L. Oehlert, a true and correct copy of which is attached hereto as <u>Attachment B</u>;
- 4. That Carolyn Oehlert is the sole beneficiary of the Estate of Neil L. Oehlert;
- 5. That this Affidavit is submitted to establish and record the assignment of all right, title and interest in the Patent from the Neil Oehlert Estate to Neovial, L.L.C., a Nebraska limited liability company, which assignment to Neovial, L.L.C. is being separately submitted for recording; and

	6.	That all statements made of my own knowledge are true and all statements made on information and belief are believed to be true.
by the	¦N WIT unders	
		_ Carolyn Ochlert
		Carolyn Oehlert'
		PERSONAL REPRESENTATIVE and Sole BENEFICIARY of
		the Neil L. Oehlert Estate
	Subsc	ribed and sworn to before me, this day of October, 2001.
		My Comm. Exp. Jan. 7, 2005
		Notar i y Public
210588.2		

Attachment A

COPY OF NEIL L. OEHLERT DEATH CERTIFICATE

STATE OF ILLINOIS

MEDICAL CERTIFICATE OF DEATH

	57	
STATE FILE	AL	
NUMBER	E	500
	ي) زُر	O Latter

Comment of the second	INOMBER JULY	/									THE GO	
ype or Print In	DECEASED-NAME	FIRST	MIDDLE	LAS	T	SEX	D/	TE OF D	EATH (MONT	H. DAY, YEARI	raping 7 specific dramities	
FIMANENT INK Fureral Directors	t NELL	L	L OEHLERT 2. MAI			MALE 3	LE 3 NOV 16, 1999					
gital, or Physicians	COUNTY OF DEATH		AGE-LAST	UNDERTYE	AR UNC	DER 1 DAY	DATE OF BIRTH	HTMC-M)				
iandbook for NSTRUCTIONS	PEORIA	BIRTHDAY (YRS) MOS DAYS HOURS MIN.]					
i i i i i i i i i i i i i i i i i i i	CITY TOWN, TWP, OR BOAD!	DISTRICT NUMBER	5a. 54	15b. ! OTHERINSTITUTI	SC.	NOT INTERIOR		1 2, 19		OR HIGH INDIGA	TE D.C.4	
	ļ	DISTRICT NOWBER				NOT IN EITHER	, dive or nee . ANL	MUMBEN	OP/EME	OR INST, INDICA R. RM, INPATIENT	(SPECIFY)	
	6a. EDWARDS			01 INDIAN RI					6c.	RESIDEN		
DECEASED	BIRTHPLACE (CITY AND STATE FOREIGN COUNTRY)	OR MARRIED, WIDOWED.	NEVERMARRIED, DIVORCED (SPECIFY	NAME OF S	URVIVINGS	SPOUSE (MA	IDEN NAME, IF WIFE	E)		WAS DECEASE ARMED FORCE	DEVERINUS EST LYES/NOV	
DECEASED	7. CHANUTE, KS		3.7.4.75.D. ##3#5		8b. CAROLYN HOHL					9. YES		
: !	SOCIAL SECURITY NUMBER	USUALOC	USUAL OCCUPATION					DUCATION (SPECIFY ONLY HIGHEST				
	10. 514-48-1998	112 0	VNER/OPERATOR	R 11b. I	anicento.	N MOLDIN	Elementary/Seco	ondary (0-1	2) Coll	ege (1-4 or 5 +)		
	RESIDENCE (STREET AND NUM			TY, TOWN, TWP.				DECITY	COUNT	y 4		
<i>9</i>	- 10001 TATISTON	DID OF DO					(YES.		1			
Į	13a. 10001 INDIAN STATE	ZIP CODE	RACE (WHITE, BLACK		ARDS	NIC OBIGINIS	13c SPECIFYNOORY	YES		PEORIA	70 01011	
	SIAIC	ZIFCODE	INDIAN, etc.) (SPECIFY)		OFRISEA	INIC OFIGIN?	(SPECIFY NOUH	ED-IF TES	, SPECIFY CUBA	N, MEXICAN, PUEH	I U HICAN, BIC.)	
	13e. IL	13f. 61528	14a. WHITE		<u> </u>			CIFY:				
DADENIC	FATHER-NAME FIRST	MIDDLE	LAST		MOTHER-	-NAME FI	RST N	HODLE		(MAIDEN) L	AST	
PARENTS	15. ARTHUR	OEHLERT			16. M	lary el	LEN HUN	Γ				
	INFORMANT'S NAME (TYPEO	R PRINT)		RELATIONSHIP	MA	ILING ADDRE	SS (STREET AND	NO. OR R.	F.D., CITY OR TO	WN, STATE, ZIP)		
	17a. CAROLYN OEH	LERT		17b.WIFE	170	. 100011	INDIAN RIDO	E RD	FDWARD	S II 61528		
		Enter the diseases or o	complications that caus			 					BITEDI/AI	
		shock, or heart failure	. List only one cause of	on each line.	t enter the m	loue or cyrrig,	SUCH AS CARCIAC OF	respirato	ry anesi,	APPROXIMATE BETWEEN ONSE	AND DEATH	
• · · · · · · · · · · · · · · · · · · ·	Immediate Cause (Final disease or condition	→ MET	ASTATIC	MET.ANON	η.					12 ***		
.	resulting in death)	', \a/								12 yea	LS	
	CONDITIONS IF ANY	DUE 10, OR AS	A CONSEQUENCE OF	F								
	CONDITIONS, IF ANY WHICH GIVE RISE TO	(b)										
CAUSE	IMMEDIATE CAUSE (a)		A CONSEQUENCE OF	F								
	STATING THE UNDERLYING CAUSE LAST.	* (_(c)										
	PARTI). Other significant condition		ut not resulting in the underly	ring cause given in PAR	T I		I I	AUTOPS	Y WERE A	UTOPSY FINDINGS AVA	ULARI E PRIOR TO	
							1	(YES/NO)	COMPLI	ETION OF CALISE OF DE		
	DATE OF OPERATION, IF ANY	MA IODEIN	IDINGS OF OPERATIO	781				19a.	NO 119b.			
	DATE OF OPERATION, IF ANY	IMAJORFIN	IDINGS OF OFERALIC	JI V					-EMALE, WAS TE REEMONTHS?	HERE A PREGNANC	CYINPAST	
•	20a.	20b.							C. YES []	NO []		
<i></i>	!(DID) (DIM DOX) ATTEND THE AND LAST SAW HIM HER ALLY	EDECEASED (MO /FON	NTH, DAY, YEAR!				ORONER OR MEI NER NOTIFIED?		HOUR OF DEA	чтн		
	and Last Sawhim HERALIN					21b.	YES	1	21c.	7:45 A.M.	М.	
	TO THE BEST OF MY KNOWLE	EDGE, DEATH OCCU	RREDATTHE TIME, C	DATE AND FLACE	ND DUE TO	THE CAUSE			DATE SIGNES) (MONTH, E	DAY, YEAR)	
	22a. SIGNATURE	んといのだ	U) a_{α}	$\mathcal{N} = \mathcal{O}_{\alpha}$	VV I	'			_{22b.} 11-	17-99		
CERTIFIER	NAME AND ADDRESS OF CER	STIFIER (TYPE)	OR PRINT)							NSENUMBER		
	I .	,		Main Ct	Do	0.46	T1 (1					
*			I.D. 900		. Pe	oria,	11 01	OUZ	22d:3 tr — tr	3695		
	NAME OF ATTENDING PHYSI	CIANTE OTHER THAN	VCERTIFIER (T)	YPE OR PRINT)						IRY WAS INVOLVE		
	23.			•					SUST BE NOTIFI		LEAMMINEM	
*	BURIAL, CREMATION,	CEMETERYORC	REMATORY-NAME	LC	CATION	CITYOR	TOWN ST	ATE	DA	TE (MONTH, D	AY, YEAR)	
*	REMOVAL (SPECIFY) 24a. ENTOMB.	24h SWAN	LAKE MAUSOLE	UM 24	e Di	EORIA. IL			24	d NOV	10. 1000	
	FUNERAL HOME	NAME		AND NUMBER OR R			ITY OR TOWN		STATE	G. NOV	19, 1999 ZIP	
DISPOSITION	THE SHIP TOOLS										•."	
	25a. THE WILTON M			KNOXVILLE	AVE.,		PEORIA, II					
<u> </u>	FUNERAL DIRECTOR'S BION	ATORE ERI	CHAN	11			FUNERAL	DIRECTOR	YS ILLINOIS LICE	NSE NUMBER		
±	25b.	in	\times				25c.					
	LOCAL REGISTRAR'S SIGNA	THRE		. 1				DBYLOC	L REGISTRAR (MONTH, DAY, YEAR	۹)	
#	260		hat.	Xtas				la	in. L	Our B	1990	
	1(D)00 (Day 5 (D)	WHY HE	yapı	y V)	lam of the f	V	26h/		ume	10,	///	
	AHSOO (Hear 2/98)		imois becarment of P	ublic Hearth—Divis	ION OF VITA	Hecolds			(BASELION 198	9US STANDÁRDO	CERTIFICATE)	
E		-		-								
<u>+</u>												
£								4				
GL '												

CERTIFIED COPY OF VITAL RECORDS

STATE OF ILLINOIS
COUNTY OF PEORIA

S

This is to certify that this is a true and correct copy of the official record filed with the Illinois Department of Public Health.

DATE ISSUED:

NOV 1 8 1999

NANCY J. WESTPHAL

1825 1800

This copy not valid unless prepared on engraved border displaying seal and signature of Registrar.

Attachment B

COPY OF LAST WILL AND TESTAMENT OF NEIL L. OEHLERT

LAST WILL AND TESTAMENT

OF

NEIL L. OEHLERT

Prepared by

John J. Respeliers, Attorney

6035 Binney Street

P. O. Box 4473

Omaha, Nebraska 68104

Office Phone - 558-1859

Home Phone - 333-1837

LAST WILL AND TESTAMENT

I, NEIL L. OEHLERT, at this time residing at Omaha, Douglas County, Nebraska, being of sound mind and disposing memory, do make and publish this, my last will and testament, hereby revoking any and all former wills or codicils thereto by me at any time made.

FIRST: I direct that all my legal debts, including last illness expenses, funeral expenses and cost of administering my estate, be paid by my Personal Representative or alternate Personal Representatives, hereinafter named.

SECOND: I wish to direct that my funeral service be conducted by the church I am a member of as of date of my death.

THIRD: I hereby give, devise and bequeath my entire estate, both real property and personal property and wherever situate, of which I die seized or possessed of or entitled to, to my wife, Carolyn M. Oehlert, to be here absolutely and forever.

FOURTH: In the event my wife predeceases me or in the event we should die in or as a result of a common disaster, then I give, devise and bequeath my entire estate, both real property and personal property and wherever situate, of which I die seized or possessed of or entitled to, to my children, Jonathan D. Oehlert, Emily M. Oehlert, Sarah E. Oehlert and Ruth E. Oehlert, and any children born after execution of this will, provided the youngest child is twenty-one (21) years of age, share and share alike; the issue of any child who predeceases me to take the deceased child's share, per stirpes.

If the youngest of my children be under age twenty-one (21) as of date of settlement of my estate, then I direct that the entire estate be held in trust for my children. I hereby nominate and appoint Ron Chambers and Ronnie Chambers as Trustees of said trust. The Trustees shall manage said trust in order to obtain maximum income while preserving the corpus thereof. The Trustees shall expend first from income and if this be insufficient, from the corpus of said trust, the necessities of life for the beneficiaries which shall include board, room, clothing, medical and dental expenses, and educational expenses, including the cost of a college

PAGE ONE of five pages

education or specialized training of each beneficiary. I direct that education expenses be paid through one undergraduate degree and one postgraduate degree.

I further provide that if Ron Chambers and Ronnie Chambers cannot serve as Trustees for any reason, that Kim J. Crawford and Carol Crawford shall be appointed as Trustees of said trust.

I further provide that if a trust is established hereunder, that it shall terminate upon the twenty-first (21st) birthdate of my youngest child at which time the entire corpus of the estate shall be divided equally among my children, share and share alike, the issue of any that then are deceased to take their deceased parent's share, per stirpes.

I empower the Trustee to buy and sell assets, including real estate, constituting the corpus of the trust, without obtaining Court approval to do so.

I further request that the Trustee not be required to post bond in order to so serve.

If there are no beneficiaries to take under this paragraph of my will, or upon termination of the trust, then this bequest shall pass pursuant to paragraph Fifth of my will.

FIFTH: In the event my wife and all of my children predecease me, or we should all die in or as a result of a common disaster, then I direct that my estate vest in the living issue of my children, per stirpes, share and share alike.

I further provide that if there not be any issue of my children then living, then in that event I devise my estate fifty percent (50%) to my parents or the survivor of them, and fifty percent (50%) to my wife's parents or the survivor of them.

SIXTH: In the event my wife predeceases me and any of my children are under legal age, then I hereby nominate and appoint Ron Chambers and Ronnie Chambers as legal Guardian of my children as are under legal age. I request that the appointed Guardian guide and care for my children until they attain legal age.

I further provide that if Ron Chambers and Ronnie Chambers cannot

PAGE TWO of five pages

serve as Guardians for any reason, that Kim J. Crawford and Carol Crawford be appointed as legal Guardians.

I direct that the Guardians may live in our home during such time as they care for my children under the provisions of this will. It would be my intent that all expenses in connection with maintaining a family home for my children, i.e., taxes, insurance, upkeep and utilities, shall be paid by the Trustees from trust income or corpus and that the Guardians not be charged for any portion of same by virtue of also occupying the home.

SEVENTH: I hereby nominate and appoint my wife, Carolyn M. Oehlert, as sole Personal Representative of this, my last will and testament. Should the said Carolyn M. Oehlert refuse to accept such trust or fail to qualify within ten (10) days after the probating of this will or die before or after qualifying, then upon the happening of either one of such events, I hereby nominate and appoint Ron Chambers and Ronnie Chambers as alternate Personal Representatives of this, my last will and testament.

I further provide that if Ron Chambers and Ronnie Chambers cannot serve as Personal Representatives for any reason, that Kim J. Crawford and Carol Crawford be appointed as alternate Personal Representatives of this, my last will and testament.

I further authorize and empower my Personal Representative or alternate Personal Representatives, if and whenever in the settlement of my estate, she or they deem it advisable at her or their discretion, to sell or mortgage the whole or any part of my real property or personal property, and to execute and deliver all deeds, instruments of transfer and other writings necessary to pass a proper title to same.

I further request that neither the named Personal Representative nor the alternate Personal Representatives be required to post bond in order to so serve.

WITNESS MY HAND this 2 day of July , 1984.

Neil L. Oehlert, Testator

WE, whose names are hereunto subscribed, do hereby certify that NEIL

PAGE THREE of five pRATENT REEL: 012312 FRAME: 0405

L. OEHLERT, the Testator, subscribed his name to this instrument consisting of five typewritten pages in our presence and in the presence of each of us and declared at the same time in our presence and hearing that this instrument consisting of five typewritten pages was his last will and testament; and we, at his request, signed our names hereto in his presence as attesting witnesses.

of Omaha, Nebraska

STATE OF NEBRASKA) COUNTY OF DOUGLAS)

WE, NEIL L. OEHLERT, Thyllis

, the Testator and witnesses, respectively, whose names are signed to the attached or foregoing

instrument, being first duly sworn, do hereby declare to the undersigned authority that the Testator signed and executed the instrument as his last will and testament and that he had signed willingly or directed another to sign for him, and that he executed it as his free and voluntary act for the purposes therein expressed; and that each of the witnesses, in the presence and hearing of the Testator, signed the will as witness and that to the best of their knowledge, the Testator was at that time eighteen or more years of age or was not at that time a minor and was of sound mind and under no constraint or undue influence.

PAGE FOUR of five pages

SUBSCRIBED, sworn to and acknowledged before me by NEIL L. OEHLERT, the Testator, and subscribed and sworn to before me by

Phyllis Cumumusham and Joanne De Mari

withesses, this _____ day of ______, 1984.

Mary Cim

OENERAL NOTARY - State of Nebroske
MARY ANN HEMPHILL
My Count. Exp. Mey 26, 1987

PAGE FIVE of five pages

PATENT
RECORDED: 11/06/2001 REEL: 012312 FRAME: 0407