

11-28-2001

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Docket Number
ATM-254

TO THE HONORABLE

101902463

INVENTS AND TRADEMARKS:

Please record the attached original document or copy thereof.

1. Name of conveying party (ies):

Peter C. Van Buskirk
Bryan C. Hendrix
Jeffrey F. Roeder
Thomas H. Baum

2. Name and address of receiving party:

Advanced Technology Materials, Inc.
7 Commerce Drive
Danbury, Connecticut 06810Additional name(s) of conveying
party (ies) attached? ☐ Yes ☒ NoAdditional name(s) and addresses
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name☐ Other _____

Execution Date: June 18, 1999, June 16, 1999, June 22, 1999

4. Application number(s) or patent number(s):

☐ If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)
6,120,846Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Margaret Chappuis
Advanced Technology Materials, Inc.
7 Commerce Drive
Danbury, CT 06810

7. Total Fee (37 CFR 3.41): \$ 40

☐ Enclosed☒ Authorized to be charged to deposit
account6. Total number of applications and patents
involved: 18. Deposit account number:
50-086011/27/2001
01 FC:58100000037 500860 6120846
40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and
any attached copy is a true copy.*

November 14, 2001

Date

Margaret Chappuis

Reg. No. 45,735

Total number of pages including coversheet, attachments, and document: 4

PATENT
REEL: 012312 FRAME: 0769

ASSIGNMENT

For good and valuable consideration, I, Bryan C. HENDRIX, residing at 12 Peace Street, Danbury, CT 06810, a citizen of the United States of America; Jeffrey F. ROEDER, residing at 4 Longmeadow Hill Road, Brookfield, CT 06804, a citizen of the United States of America; Peter S. VAN BUSKIRK, residing at 18 Poverty Hollow Road, Newtown, CT 06470, a citizen of the United States of America; and Thomas H. BAUM, residing at 2 Handol Lane, New Fairfield, CT 06812 a citizen of the United States of America;

Hereby sell, assign, and transfer to Advanced Technoloy Materials, a corporation organized and existing under the laws of the state of Connecticut, having its principal place of business at 7 Commerce Drive, Danbury, CT 06810-4169 7,

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent,

Ser. No.: 08/996,575

Filed: December 23, 1997

and entitled: Method For The Selective Deposition Of Bismuth Based
FerroElectric Thin Films By Chemical Vapor Deposition

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

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Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

 (L.S.)
Bryan C. HENDRIX

State of Connecticut)
) ss.:
County of Fairfield)

Before me this 18th day of June, 1999, personally appeared Bryan C. Hendrix to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

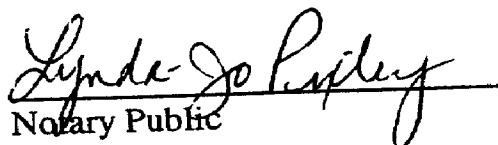

Notary Public

LYNDA-JO PIXLEY
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2004

 (L.S.)
Jeffrey F. ROEDER

State of Connecticut)
) ss.:
County of Fairfield)

Before me this 16th day of June, 1999, personally appeared Jeffrey F. Roeder to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Notary Public

LYNDA-JO PIXLEY
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2004

PATENT
REEL: 012312 FRAME: 0771

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Peter Van Buskirk (L.S.)
Peter VAN BUSKIRK

State of Connecticut)
) ss.:
County of Fairfield)

LYNDA-JO PIXLEY
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2004

Before me this 23rd day of June, 1999, personally appeared Peter Van Buskirk to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Lynda-Jo Pixley
Notary Public

Thomas H. Baum (L.S.)
Thomas H. BAUM

State of Connecticut)
) ss.:
County of Fairfield)

Before me this 22 day of June, 1999, personally appeared Thomas H. Baum to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Lynda-Jo Pixley
Notary Public

LYNDA-JO PIXLEY
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2004