

11-27-2001

FORM PTO-1595
(Rev. 6/93)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office101901685
PATENTS ONLY

1 SHEET

Attorney Docket No. 1720-1-001CIP

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zhou Wang and Wuhan Xiao

11-23-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: _____

Execution Date: November 5, 2001 (respectively)

2. Name and address of receiving party(ies):

Name: Northwestern UniversityAddress: 1880 Oak Avenue, Suite 100Evanston, Illinois 60201Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/906,393

B. Patent No.(s)

NOV 23 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. JacksonAddress: KLAUBER & JACKSON411 Hackensack Avenue, 4th FloorHackensack, New Jersey 076016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account, if necessary -
for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Davis, Reg. No. 39,161
Name of Person SigningMichael D. Davis
Signature11/20/01
DateTotal number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner For Patents
Box Assignments
Washington, D.C. 20231

11/26/2001 DBYRNE 00000047 09906393

01 FC:581

40.00 OP

PATENT
REEL: 012315 FRAME: 0139

ASSIGNMENT

WHEREAS, WE,

Zhou Wang, a citizen of the United States, residing at
440 N. McClung Ct., #604
Chicago, Illinois 60611; and

Wuhan Xiao, a citizen of the People's Republic of China, residing at
244 E. Pearson St., Apt. 1204
Chicago, Illinois 60611; *W.X*

have invented certain new and useful improvements in

METHOD OF PROGNOSING CANCER AND THE PROTEINS INVOLVED

for which we have filed an Application for Letters Patent in the United States on July 16, 2001, and was accorded U.S. Serial No. 09/906,393; and

WHEREAS, Northwestern University,
an education corporation organized and existing under
the laws of the State of Illinois,
with office at 1880 Oak Avenue, Suite 100, Evanston, Illinois 60201,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Zhou Wang and Wuhan Xiao

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

Northwestern University,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is

to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

Northwestern University,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

Northwestern University,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

Northwestern University,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

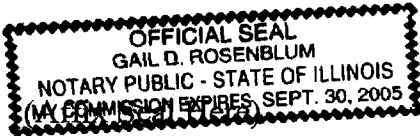
Date Nov. 5, 2001, 2001

Zhou Wang L.S.
Zhou Wang

State of Illinois :
County of Cook : ss.
:

Before me this 5 day of Nov, 2001, personally appeared Zhou Wang, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Gail D. Rosenblum
NOTARY PUBLIC



Date Nov. 5, 2001, 2001

Wuhan Xiao L.S.
Wuhan Xiao

State of Illinois :
County of Cook : ss.
:

Before me this 5 day of Nov, 2001, personally appeared Wuhan Xiao, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Gail D. Rosenblum
NOTARY PUBLIC

