11-27-2001 FORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 101901375 Tab settings -

SHEET Y.

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Docket No.: XA-9583

Tab Settings		
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies):  Kozo KATAYAMA;  Yoshiaki KAMIGAKI;	2. Name and address of receiving party(ies):	
Shinichi MINAMI	Address: 6, Kanda Surugadai 4-chome,	
	Chiyoda-ku	
Additional names(s) of conveying party(ies)	- Ciliyota-ku D	
3. Nature of conveyance:		
☑ Assignment		
☐ Security Agreement ☐ Change of Name	City: Tokyo State/Prov.:	
☐ Other	Country: Japan ZIP:	
Execution Date: October 17 and 25, 2001	Additional name(s) & address(es) , ☐ Yes ☒ No	
4 Application number(s) or notant numbers(s):	9.808725	
4. Application number(s) or patent numbers(s):  If this document is being filed together with a new application	the execution date of the application is:	
Patent Application No. Filing date	B. Patent No.(s)	
11/21/2001 JBALINAN 00000003 09988725		
04 FC:581 40.00 QP		
Additional numbers	☐ Yes ☒ No	
Name and address of party to whom correspondence		
concerning document should be mailed:	6. Total number of applications and patents involved:	
Name: Mitchell W. Shapiro	7. Total fee (37 CFR 3.41):\$ 40.00	
Registration No. 31,568	Enclosed - Any excess or insufficiency should be credited or debited to deposit account	
Address: Miles & Stockbridge P.C.		
1751 Pinnacle Drive	☐ Authorized to be charged to deposit account	
	8. Deposit account number:	
Suite 500	'	
City: McLean State/Prov.: VA	50-1165	
Country: <u>USA</u> ZIP: <u>22102-3833</u>	(Attach duplicate copy of this page if paying by deposit account)	
DO NOT	USE THIS SPACE	
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information of the original document.	ation is true and correct and any attached copy is a true copy	
Mitchell W. Shapiro	WW. Shifty November 20, 2001	
Name of Person Signing	Signature 2 Date	
Total number of pages including	cover sheet, attachments, and	

## ASSIGNMENT (譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LTD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

## NONVOLATILE MEMORY DEVICE

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire owner-ship of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside signatures:

INDICATE OF (O)

**RECORDED: 11/20/2001** 

	INVENTOR(S) (発明者フルネームサイン)	(署名日)
1)	Rozo Katayama (Kozo KATAYAMA)	17/October/200/
	Yoshiaki Kamigaki (Yoshiaki KAMIGAKI)	25/October/200/
	Shinichi Minami (Shinichi MINAMI)	17/October/2001
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10)		

PATENT REEL: 012316 FRAME: 0102

Data Signed