

11-29-2001



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

SHEET

11/26/01

Attorney's Docket No. 033275-002

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ABB (Schweiz) AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: 11/9/2001

2. Name and address of receiving party(ies):

Name: ALSTOM

Address: 25, avenue Kleber

F-75116 Paris

France

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

See Appendix A

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert S. Swecker

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR § 3.41): \$ 240.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Swecker, #19,885
Name of Person Signing

Signature

November 26, 2001
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

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Commissioner of Patents and Trademarks
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Washington, D.C. 20231

(10/01)

PATENT
REEL: 012318 FRAME: 0462

APPENDIX A

1. U.S. Pat. Appln. Ser. No. 09/686,929 filed October 12, 2000
Inventors: Peter Baumann, et al.
Title: Degassing System for Power Plants
2. U.S. Pat. Appln. Ser. No. 09/686,963 filed October 12, 2000
Inventors: Alexander Beeck, et al.
Title: Rotor for a Gas Turbine
3. U.S. Pat. Appln. Ser. No. 09/684,982 filed October 10, 2000
Inventors: Franz Joos, et al.
Title: Combustion Apparatus, in Particular for Driving Gas Turbines
4. U.S. Pat. Appln. Ser. No. 09/671,303 filed September 28, 2000
Inventor: Joachim Menden
Title: Measuring Sensor Positioning
5. U.S. Pat. Appln. Ser. No. 09/637,866 filed August 15, 2000
Inventors: Franz Joos, et al.
Title: Method for Generating Hot Gases in a Combustion Device and Combustion Device for Carrying Out the Method
6. U.S. Pat. Appln. Ser. No. 09/612,297 filed July 7, 2000
Inventor: Michael Jung
Title: Controllable Cooling Device for Turbogenerators

ASSIGNMENT

THIS ASSIGNMENT is effective as of the first day of November, 2000.

WHEREAS, ABB (Schweiz) AG, a Swiss corporation, having its principal place of business at Haselstrasse 16, CH-5400 Baden, Switzerland, is the record owner of the pending United States patent applications listed in Appendix A attached; and

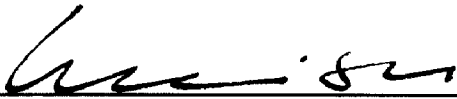
WHEREAS, certain assets including the U.S. patent applications listed in Appendix A were duly assigned and transferred by the said ABB (Schweiz) AG to ALSTOM, a French limited liability company, having its principal place of business at 25, avenue Kléber, F-75116 Paris, France; and

WHEREAS, the parties desire to record this instrument in the United States Patent and Trademark Office attesting to the assignment of said applications for Letters Patent;

NOW, THEREFORE, for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, ABB (Schweiz) AG acknowledges that it has sold, assigned and transferred, nunc pro tunc as of November 1, 2000, to ALSTOM the entire right, title and interest in and to the said patent applications, including any reissues, reexamined patents, continuations and divisions of said patent applications, said patent applications to be held and enjoyed by ALSTOM for its own use and for the use of its legal

representatives, successors and assigns, to the full end of the terms for which the said patent applications are granted, as fully and entirely as the same would have been held by ABB (Schweiz) AG had this assignment not been made, together with all claims for past infringement of said patent applications and with the right to sue for and to collect damages for such infringement.

Date 09-11-2001



Name: Beat Weibel
Title: General Manager
Company: ABB (Schweiz) AG