

FORM PTO-1595 (Modified)  
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RE

11-29-2001

U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, Washington, D.C. 20530, enclosed original documents or copy thereof.

1. Name of conveying party(ies):

Peter H. Bartlau  
Marc W. Cantell  
Jerome B. Lasky  
James D. Weil

11/20/01

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

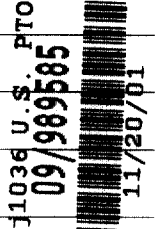
City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Additional name(s) & address(es)  Yes  No



3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 11/20/01, 11/19/01, 11/20/01, 11/20/01

4. Application number(s) or patent numbers(s):

09989585

11/20/01, 11/19/01, 11/20/01, 11/20/01

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No. \_\_\_\_\_

Filing date \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Customer No. 30449

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
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09-0456(IBM)

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William D. Sabo-Reg. No. 27,465

Name of Person Signing

Signature

11/20/01

Date

Total number of pages including cover sheet, attachments, and 3

# ASSIGNMENT

Whereas, We

(1) Peter H. Bartlau  
County of Chittenden

City of Westford  
and State of Vermont

(2) Marc W. Cantell  
County of Franklin

City of Sheldon  
and State of Vermont

(3) Jerome B. Lasky  
County of Chittenden

City of Essex Junction  
and State of Vermont

(4) James D. Weil  
County of Chittenden

City of Underhill  
and State of Vermont

have invented certain improvements in a:

## METHOD FOR LIMITING DIVOT FORMATION IN POST SHALLOW TRENCH ISOLATION PROCESSES

Dates That Inventors Signed the Declaration	and executed, respectively, a United States patent application therefor on		
	(1) 11/20/01	2001; (2) 11/19/01	2001;
	(3) 11/20/01	2001; and (4) 11/20/01	2001.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at Essex Jct. Vt.  
on 11/20/01 2001

*Peter H. Bartlau*  
 \_\_\_\_\_  
 (Peter H. Bartlau)  
 First Name Middle Last Name

Signature  
Inventor

(2) at Essex Jct Vt  
on 11/19/01 2001

*Marc W. Cantell*  
 \_\_\_\_\_  
 (Marc W. Cantell)  
 First Name Middle Last Name

Signature  
Inventor

# ASSIGNMENT

(3) at *Essex Junction, Vt.*  
on *11/20* 2001

*Jerome B. Lasky*  
\_\_\_\_\_  
(Jerome B. Lasky)  
First Name Middle Last Name

Signature  
Inventor

(4) at *Essex Junction, Vt.*  
on *11/26* 2001

*James D. Weil*  
\_\_\_\_\_  
(James D. Weil)  
First Name Middle Last Name

Signature  
Inventor