



101903185

ATTN. BOX ASSIGNMENTS

To the Honorable Commissio  
Please record the attached o

1. Name of conveying party(ies):  
John SULLIVAN

11/21/01

2. Name and address of receiving party(ies):

Name: CAPITAL ONE FINANCIAL CORPORATION

10971 U.S. PTO  
09/989129  
11/21/01

Additional name(s) of conveying party(ies) attached?  Yes  No

Internal Address:

3. Nature of conveyance:

Street Address: 2980 Fairview Park Drive

Assignment  Merger

City: Falls Church,

Security Agreement  Change of Name

State: VA Zip Code: 22042

Other:

Additional name(s) & Address(es) attached?

Execution Date: November 14, 2001

Yes  No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: November 14, 2001

A. Patent Application Number(s):

109989129

B. Patent Number(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy J. May

6. Total number of applications and registrations involved:  
1

7. Total fee (37 CFR 3.41): \$40

Enclosed (Please charge deficiency to deposit account)

Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. May  
Reg. No. 41,538

Signature

November 21, 2001  
Date

Total number of pages including cover sheet, attachments and documents: 3

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40.00 DP

**ASSIGNMENT**

WHEREAS I, the below named inventor, [hereinafter referred to as Assignor], have made an invention entitled:

**SYSTEMS AND METHODS FOR MONITORING AN APPLICATION PROCESSOR**

for which I executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Capital One Financial Corporation, a corporation of Delaware whose post office address is 2980 Fairview Park Drive, Falls Church, Virginia 22042 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;


AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

County of Henrico )  
State of Virginia )

ss.

John SULLIVAN  
Address: 10800 Nuckols Road  
Glen Allen, VA 23060

  
SIGNATURE

DATE: 11/14/01

Subscribed and sworn to before me this 14<sup>th</sup> day of November, 2001.  
Paula M. Allan, Notary Public

My Commission Expires March 31, 2003