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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

11000 U.S. PTO  
09/990464  
11/20/01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bruce E. Fuller

2. Name and address of receiving party(ies)

Name: Dresser-Rand Company

Internal Address: P.O. Box 560

11/27/2001 GGLBREGI 00000043 09990464

02 FC:581

40.00 DP

Street Address: Paul Clark Drive

City: Olean

State: NY

Zip: 14760

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 9, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/9/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

09/990464

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Warren B. Kice

Internal Address: Haynes and Boone, LLP

Street Address: 901 Main Street, Suite 3100

City: Dallas State: Texas Zip: 75202-3789

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

WARREN B. KICE

Name of Person Signing

Signature

Date

11/20/01

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

D912999.1

CUSTOMER NO. 000027683

DOCKET NO.: 26333.654

PATENT  
REEL: 012320 FRAME: 0406

**ASSIGNMENT**

WHEREAS, I,

(1) Bruce E. Fuller

of Alfred Station  
State of New York

have invented certain improvements in

**SEGMENTED LABYRINTH SEAL ASSEMBLY AND METHOD**

and filed a United States patent application herewith;

WHEREAS, Dresser-Rand Company a Delaware Corporation having a place of business at Paul Clark Drive, P.O. Box 560, Olean, New York 14760 (hereinafter called Dresser-Rand), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby acknowledge my prior existing obligation and hereby sell, assign, and transfer to Dresser-Rand its successors and assigns, the entire right, title, and interest in the application and invention therein disclosed for the United States and foreign countries, to and under the said improvements, and the said application and all divisionals, renewals, continuing applications thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to Dresser-Rand its successors and assigns; and we hereby agree that Dresser-Rand may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by Dresser-Rand.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Wellsville, NY</u>	<u>11-9-01</u>	<u>Bruce E. Fuller</u>
(1)	State of <u>New York</u> County of <u>Allegany</u> ss:		<u>Bruce E. Fuller</u>

On this 9th day of November, 2001, before me personally came **Bruce E. Fuller**, to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

Sandra C. Denhoff  
PRINT NAME OF NOTARY

Sandra C. Denhoff  
SIGNATURE OF NOTARY

**SANDRA C. DENHOFF**  
Notary Public, State of New York  
No. 01GR4952071

Qualified in Allegany County  
Commission Expires June 12, 2003

☒ Personally Known

☐ Produced Identification in the form of \_\_\_\_\_

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