

11-30-2001



101904383

Docket No.: 45568-00421

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James Frank Kasic II;
José H. Bedoya;
Douglas Alan Miller; and
James Roy Easter

11/20/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Otologics LLC

Internal Address: _____

Street Address: 5445 Airport Boulevard, Suite 106

City: Boulder State: CO ZIP: 80301

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: November 14, 2001

4. Application number(s) or patent numbers(s):

09/99/397

If this document is being filed together with a new application, the execution date of the application is: November 20, 2001

A. Patent Application No.(s)

n/a

B. Patent No.(s)

n/a

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas R. Marsh, Esq.

Internal Address: _____

MARSH FISCHMANN & BREYFOGLE LLP

Street Address: 3151 South Vaughn Way, Suite 411

City: Aurora State: CO ZIP: 80014

6. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Marsh, Esq.

Name of Person Signing

Signature

November 20, 2001

Date

Total number of pages including cover sheet, attachments, and document: 04

PATENT

REEL: 012321 FRAME: 0763

11002 U.S. PTO
09/99/397
11/20/01

40.00

11/29/2001 LMEILLER 00000131 0998397

01 FC-501

ASSIGNMENT

WHEREAS, we, **James Frank Kasic II**, residing at 4785 Harrison Avenue, Boulder, Colorado 80303; **José H. Bedoya**, residing at 604 Tenth Street, Boulder, Colorado 80302; **Douglas Alan Miller**, residing at 372 Driftwood Circle, Lafayette, Colorado 80026; and, **James Roy Easter**, residing at 286 Wichita Road, P.O. Box 739, Lyons, Colorado 80540-0739, have invented a certain new and useful invention entitled **IMPLANTABLE HEARING AID WITH IMPROVED SEALING**, for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney File No. **45568-00421** and executed on even date herewith;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, **Otologics LLC**, a Missouri corporation having a place of business at 5445 Airport Boulevard, Suite 106, Boulder, Colorado 80301, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefore to a party other than **Otologics LLC**, and we are under no obligation to make any assignment of the invention, application, or patent therefore to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to **Otologics LLC**, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 11/15/01 ^{Q 11/14/01} 11/14/01

By: 
James Frank Kasic II

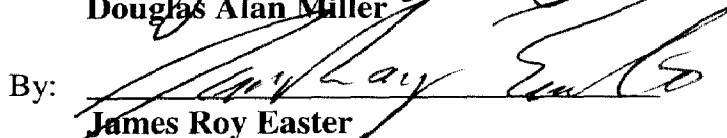
Date: 11/14/01

By: 
José H. Bedoya

Date: 14 Nov 01

By: 
Douglas Alan Miller

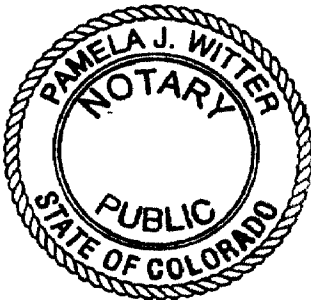
Date: 14 Nov 01

By: 
James Roy Easter

STATE OF Colorado)
COUNTY OF Boulder) ss.

Before me, a Notary Public in and for said County and State, personally appeared **James Frank Kasic II**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 14th day of November, 2001.

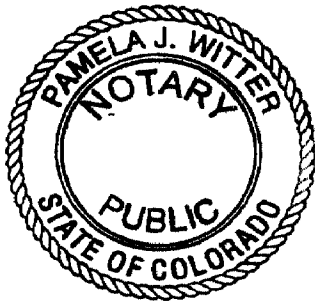


[Signature]
Notary Public
My Commission Expires: 10/26/2004
Pamela Witter
1075F Delta Dr.
Lafayette, CO 80526

STATE OF Colorado)
COUNTY OF Boulder) ss.

Before me, a Notary Public in and for said County and State, personally appeared **José H. Bedoya**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 14th day of November, 2001.

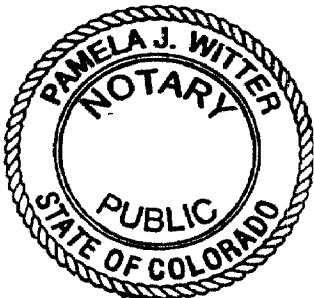


[Signature]
Notary Public
My Commission Expires: 10/26/2004
Pamela Witter
1075F Delta Dr.
Lafayette, CO 80526

STATE OF Colorado)
COUNTY OF Boulder) ss.
)

Before me, a Notary Public in and for said County and State, personally appeared **Douglas Alan Miller**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 14th day of November, 2001.

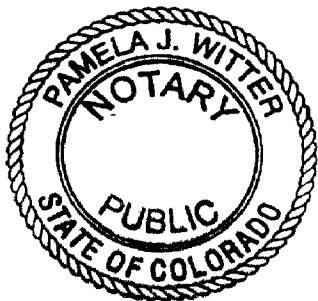


Pamela Witter
Notary Public
My Commission Expires: 10/26/2004
1075F Delta Dr.
Lafayette, CO 80026

STATE OF Colorado)
COUNTY OF Boulder) ss.
)

Before me, a Notary Public in and for said County and State, personally appeared **James Roy Easter**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 14th day of November, 2001.



Pamela Witter
Notary Public
My Commission Expires: 10/26/2004
1075F Delta Dr.
Lafayette, CO 80026