

11-28-01
12-03-2001



101905373

SHEET

Attorney Docket No.: 046700-5059

To the Commissioner for Patents:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher J. SMITH
Anthony F. BERNARD
William D. RUPP

2. Name and address of receiving party(ies):

Name: FreeMarkets, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: FreeMarkets Center
210 Sixth Avenue

City: Pittsburgh
State: PA Zip: 15222

Execution Date: November 16, 2001, November 15, 2001 and
November 21, 2001

Additional name(s) & address(es) attached?
Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s)
09/947,949

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DANIEL H. GOLUB

Internal Address: Morgan, Lewis & Bockius LLP

Street Address: 1701 Market Street
City: Philadelphia State: PA Zip: 19103-2921

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R §3.41): \$40.00
☒ Enclosed
☐ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310
Attach duplicate of page if paying by deposit account

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard W. James, Reg. No. 43,690
Name of Person Signing

Signature

November 26, 2001
Date

Total number of pages including cover sheet, attachments and documents: 4

11/30/2001 DBYRNE 00000003 09947949

01 FC:581

40.00 OP

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS WITH AGGREGATE LOTTING FOR TRANSFORMATION BIDDING

for which an application for United States Letters Patent was filed on September 6, 2001 and assigned U.S. Serial No. 09/947,949; and

WHEREAS, FreeMarkets, Inc., a corporation of Delaware, whose mailing address is FreeMarkets Center, 210 Sixth Avenue, Pittsburgh, PA 15222 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the provisional application and the application for United States Letters Patent on this invention and any Letters Patent to be issued upon that application for United States Letters Patent;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and those applications, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of _____

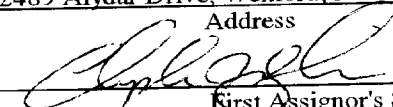
State of _____

Subscribed and sworn to before me
this ____ day of _____, 2001.

Notary Public

Christopher J. SMITH
Full Name of Sole or First Assignor

SS. 2489 Alydar Drive, Wexford, PA 15090
Address


First Assignor's Signature

11/16/2001
Date

Names of additional inventors attached [X] Yes [] No

County of _____

State of _____

Subscribed and sworn to before me


This ____ day of _____, 2001.

Notary PublicAnthony F. BERNARD

Full Name of Second Assignor

SS. 2518 Lindenwood Drive, Wexford, PA 15090

Address


Second Assignor's Signature6/15/2001
Date

County of _____

State of _____

Subscribed and sworn to before me

This ____ day of _____, 2001.

Notary PublicWilliam D. RUPP

Full Name of Third Assignor

SS. 449 Marietta Place, Mt. Lebanon, PA 15228

Address

Third Assignor's Signature_____
Date

Names of additional inventors attached [] Yes [X] No

County of _____

State of _____

Subscribed and sworn to before me

This __ day of _____, 2001.

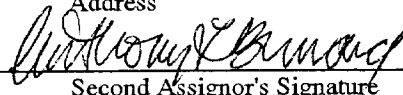
____ Notary Public

Anthony F. BERNARD

Full Name of Second Assignor

SS. 2518 Lindenwood Drive, Wexford, PA 15090

Address



Second Assignor's Signature

11/15/2001

Date

County of _____

State of _____

Subscribed and sworn to before me

This __ day of _____, 2001.

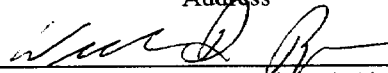
____ Notary Public

William D. RUPP

Full Name of Third Assignor

SS. 449 Marietta Place, Mt. Lebanon, PA 15228

Address



Third Assignor's Signature

11/21/2001

Date

Names of additional inventors attached ☐ Yes ☒ No