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- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
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J1040 U.S. PTO
29/150574

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PATENT
REEL: 012326 FRAME: 0565

Correspondent Name and AddressArea Code and Telephone Number **410-465-6678**Name **Morton J. Rosenberg**Address (line 1) **Rosenberg, Klein & Lee**Address (line 2) **3458 Ellicott Center Drive, Suite 101**Address (line 3) **Ellicott City, MD 21043**

Address (line 4)

PagesEnter the total number of pages of the attached conveyance document
including any attachments.# **3****Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.Month Day Year
11/23/2001**Patent Cooperation Treaty (PCT)**Enter PCT application number
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has not been assigned.PCT PCT PCT
PCT PCT PCT **Number of Properties**

Enter the total number of properties involved.

1**Fee Amount**Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**Method of Payment:
Deposit AccountEnclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

18-2011

Authorization to charge additional fees:

Yes ☒ No ☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Morton J. Rosenberg

Name of Person Signing



Signature

11/26/01

Date

1 ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention Title) TOP CAP OF COSMETIC CONTAINER

The PATENT RIGHTS referred to in this agreement are:

- (Check one) ☒ a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment
☐ U.S. Patent Application Serial No. _____, filed _____
☐ U.S. Patent No. _____, issued _____

The PATENT RIGHTS assigned under this agreement are:

- (Check one) ☒ U.S. Patent rights only
☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(s) referred to in this agreement is (or are):

(Full name of first assignor) YU-CHING SHIH
 (Address) No. 707-6, Chung Cheng South Road, Yung Kung City, Tainan Hsien, Taiwan, ROC

(Full name of second assignor, if any) _____
 (Address) _____

(Full name of third assignor, if any) _____
 (Address) _____

(Full name of fourth assignor, if any) _____
 (Address) _____

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) HAWTHORN ENTERPRISE CO., LTD.
 (Address of Assignee) No. 707-6, Chung Cheng South Road, Yung Kung City, Tainan Hsien, Taiwan, R.O.C.

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) _____
 (Address of Assignee) _____

The First ASSIGNEE is:

- (Check one) ☐ an individual
☐ a partnership
☒ a Corporation of Taiwan, R.O.C. (State or Country)

The Second ASSIGNEE is:

- (Check one) ☐ an individual
☐ a partnership
☐ a Corporation of _____ (State or Country)

☐ Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

the full and exclusive right to the invention;
 an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and the right to claim priority under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Director of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforce proper protection for said invention.

Yu-Ching Shih
 (Signature of sole or first assignor)
YU-CHING SHIH (SHIH IS FAMILY NAME)

11/23/2001
 (Date)

 (Signature of second assignor, if any) (Date)

 (Signature of third assignor, if any) (Date)

 (Signature of fourth assignor, if any) (Date)