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Execution Date:

Brian D. HERR Joseph J. KAVAKY November 20, 2001 November 26, 2001

2. Name and address of receiving party:

INTERNATIONAL BUSINESS MACHINES CORPORATION New Orchard Road Armonk, New York 10504

Additional name(s) & address(es) attached?

Yes XX No 3. Nature of conveyance:

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. November 20, 2001

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5. Name and address of party to whom correspondence concerning document should be mailed:

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FLEIT, KAIN, GIBBONS, GUTMAN & BONGINI P.L.

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6. Total number of applications and patents involved: 1

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> **PATENT** REEL: 012336 FRAME: 0194

PATENT

ASSIGNMENT

Whereas, we,

- (1)*Brian D. HERR of Rhinebeck, County of Dutchess and State of New York
- Joseph J. KAVAKY of Red Hook, County of Dutchess and State of New York (2)*

have invented certain improvements in

| DYNAMIC SELF-TUNING MEMORY MANAGEMENT METHOD AND SYSTEM | | |
|---|----------------------------------|---|
| and executed a United States patent appli | | g date(s): |
| (1)* 11/20/2001 | (2)* 11/26/20c1 | |
| and | | |
| Whereas, INTERNATIONAL BUSINES of business at Armonk, New York 10504. (in the application and invention, and to any | hereinafter called IBM), desires | N, a corporation of New York, having a place s to acquire the entire right, title and interest tents to be obtained therefor; |
| Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM. | | |
| Standard and accled. | * DI EASE ONEON OD TILL | IN ALL SECTIONS WITH AN ASTERISK |
| Signed and sealed: LOCATION (City and State you are in when signing this document) | DATE | SIGNATURE |
| (1) POUGHKEEPSIE, NEW YORK | 11/20/2001 | Brian D. HERR |
| (2) Red Hook NY | 11/26/2001 | Joseph J. Kavaky Joseph J. KAVAKY |

Docket No. POU920010125US1

RECORDED: 11/27/2001

-1-

** TOTAL PAGE.04 **
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