

12-07-2001

Docket No.: D-1191

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patent

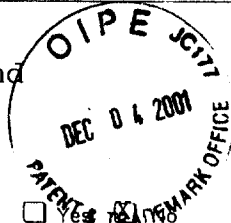
101910348

attached original documents or copy thereof.

1. Name of conveying party(ies):

Yasuhiro Yanagida; and
Hiroshi Imai

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No



2. Name and address of receiving party(ies):

Name: KOMATSU LTD.

Address: 3-6 Akasaka 2-chome, Minato-ku,
Tokyo, Japan

City: State/Prov.:

Country: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 13 & 14, 2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. Filing date

B. Patent No.(s)

29/149,964 October 25, 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: KANESAKA & TAKEUCHI

Registration No. 31,467

Address: 1423 Powhatan Street

12/06/2001 T01A21 00000009 29149964

01 FC:581 40.00 DP

City: Alexandria State/Prov.: VA

Country: U.S.A. ZIP: 22314

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Manabu Kanesaka

Name of Person Signing

Manabu Kanesaka

Signature

12/4/01

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 012338 FRAME: 0536

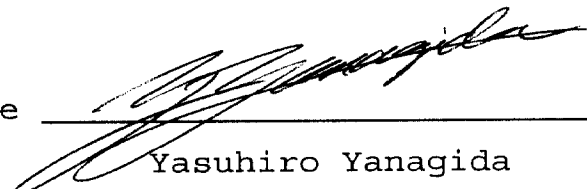
ASSIGNMENT

For value received, we, 1)Yasuhiro Yanagida and 2)Hiroshi Imai
having post office address respectively at 1)c/o KOMATSU LTD.,
3-6 Akasaka 2-chome, Minato-ku, Tokyo, Japan; and 2)c/o KOMATSU
LTD., Awazu plant, 23, futsu-machi tsu, Komatsu-shi,
Ishikawa-ken, Japan

hereby sell, assign and transfer to KOMATSU LTD.
a corporation existing under the laws of Japan
located at 3-6 Akasaka 2-chome, Minato-ku, Tokyo, Japan
and its successors, assigns, and legal representatives the entire
right, title, and interest for all countries including the United
States of America, in and to certain inventions relating to _____
POWER SHOVEL

described in application for Letters Patent of the United States,
Serial No. 29/149,964 Filed October 25, 2001
and all patents which may be granted therefor, and all divisions,
reissues, continuations and extensions thereof, and authorize and
request the Commissioner of Patents and Trademarks to issue all
patents on said improvements or resulting therefrom to said Company
as assignee of the entire interest, and covenant that I/We have
full right so to do, and agree that I/We will communicate to said
Company or its representatives any facts known to me/us respecting
said improvements and testify in any legal proceedings, sign all
lawful papers, execute all divisional, continuing and reissue
applications, make all rightful oaths and generally do everything
possible to aid said Company, its successors, assigns, and
nominees, to obtain and enforce proper protection for said
invention in the United States.

Sen. 29/149,964

Signature  Date 13/11/2001
Yasuhiro Yanagida

Signature H. Imai Date 14/11/2001
Hiroshi Imai