

12-07-2001

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FORM COVER SHEET

US ONLY

Attorney Docket Number
10484-005-999

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Martin Stogniew and Robert Garrison, Jr.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: November 28, 2001 and November 1, 2001 respectively

2. Name and address of receiving party(ies):

Name: NEXT Pharmaceuticals, Inc.Address: Four Venture Suite 395Irvine, California 92618

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 28, 2001 and November 1, 2001 respectivelyA. Patent Application No.(s) To Be Assigned

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of Americas
New York, N.Y. 10036-2711

6. Number of applications

and patents involved: One (1)7. Total fee (37 CFR 3.41):.....\$ 40.00

Please charge to the deposit account listed in Section 8.

8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Anthony M. Insogna35,203

Reg. No.

Signature

November 29, 2001

Date

Name of Person Signing

Total number of pages including cover sheet:

5Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

12/06/2001 6TOM11 00000108 161150 09996254

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40.00 CH

PATENT
REEL: 012340 FRAME: 0327

ASSIGNMENT

WHEREAS, WE, **Martin STOGNIEW, Ph.D.**, a citizen of the United States of America and residing at 1870 Ferguson, Blue Bell, Pennsylvania 19422; and **Robert GARRISON, Jr.**, a citizen of the United States and residing at 6570 Windflower Drive, Carlsbad, California 92009, **ASSIGNORS**, are the inventors of the invention in **COMPOSITIONS AND METHODS OF USE FOR EXTRACTS OF MAGNOLIACEAE PLANTS** for which we have executed an application for a Patent of the United States which is executed on even date herewith and which is identified by Pennie & Edmonds LLP docket no. 10484-005-999

and WHEREAS, **NEXT Pharmaceuticals, Inc.**, a business incorporated under the state of California having a place of business at Four Venture Suite 395, Irvine, California, 92618, **ASSIGNEE** is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said **ASSIGNORS**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEE**, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said **ASSIGNEE**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said **ASSIGNEE**, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said **ASSIGNEE**, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date _____, 2000 _____ L.S.
Martin STOGNIEW, Ph.D.

State of _____)
) SS.:
 County of _____)

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared **Martin Stogniew, Ph.D.**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date November 1, 2000


Robert GARRISON, Jr.

L.S.

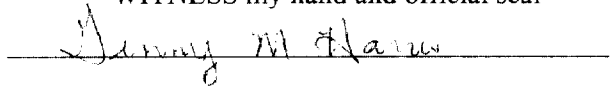
State of California)

County of Orange)

SS.:

In the State of California, county of Orange, on 1 November, before me, Ginny M. Harris, Notary Public, personally appeared Robert GARRISON, Jr., personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal





ASSIGNMENT

WHEREAS, WE, **Martin STOGNIEW**, Ph.D., a citizen of the United States of America and residing at 1870 Ferguson, Blue Bell, Pennsylvania 19422; and **Robert GARRISON, Jr.**, a citizen of the United States and residing at 6570 Windflower Drive, Carlsbad, California 92009, **ASSIGNORS**, are the inventors of the invention in **COMPOSITIONS AND METHODS OF USE FOR EXTRACTS OF MAGNOLIACEAE PLANTS** for which we have executed an application for a Patent of the United States which is executed on even date herewith and which is identified by Pennie & Edmonds LLP docket no. 10484-005-999

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AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said **ASSIGNEE**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

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IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

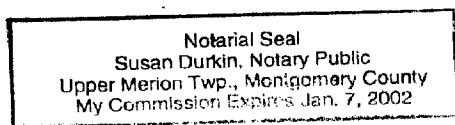
Date November 28, 2001 Martin Stogniew L.S.
Martin STOGNIEW, Ph.D.

State of PA)
) SS.:
 County of MONT.)

In the State of PA, county of MONT, on 11/28/01, before me, Susan Durkin, Notary Public, personally appeared Martin Stogniew, Ph.D., personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Susan Durkin



Member, Pennsylvania Association of Notaries

Date _____, 2000 _____ L.S.
Robert GARRISON, Jr.

State of _____)
County of _____) SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared **Robert GARRISON, Jr.**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal
