

RE

12-11-2001



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

AAC-1 CIP

To the Honorable Commission of Patents and Trademarks **101911859**

attached original documents or copy thereof.

1. Name of conveying party(ies):

Donald F. Albert
Greg R. Andrews
Joseph W. Bruno

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): November 27, 2001

2. Name and address of receiving party(ies)

Name: American Aerogel Corporation

Internal Address: _____

Street Address: 1000 Corporate Row, Suite A

City: Cromwell State: CT ZIP: 06416

Country: USA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s).

09/972,163 filed October 4, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marta E. Gross

Internal Address: _____

Street Address: FISH & NEAVE
1251 Avenue of the Americas

City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed ☐ Authorized to be charged to deposit account

☒ Charge excess/credit overpayment to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nina R. Horan

Name of Person Signing

Nina R. Horan

Signature

Nov. 30, 2001

Date

Reg. No. 47,662

Total number of pages including cover sheet, attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

12/10/2001 LMUELLER 00000143 09972163

01 FC:581

40.00 DP

PATENT
REEL: 012347 FRAME: 0493

A S S I G N M E N T

WHEREAS, we,

- (1) Donald F. Albert;
- (2) Greg R. Andrews; and
- (3) Joseph W. Bruno;

residing, respectively, at:

(1) 68 Morris Hubbard Road, Higganum, CT
06441;

(2) 70 Home Avenue, 2nd Floor, Middletown,
CT 06457; and

(3) 4 Staba Drive, Higganum, CT 06441;

have made an invention in:

ORGANIC, OPEN CELL FOAM MATERIALS, THEIR CARBONIZED
DERIVATIVES, AND METHODS FOR PRODUCING SAME

and have filed an application for United States Letters
Patent therefor, on October 4, 2001, under Application
Serial No. 09/972,163 ("the United States Patent
Application"); and

WHEREAS, AMERICAN AEROGEL CORPORATION, a
corporation organized and existing under the laws of the
State of Delaware and having an office and place of
business at 1000 Corporate Row/Suite A, Cromwell, CT
06416, is desirous of acquiring the entire interest in

said invention, said United States patent application and in any Letters Patent which may be issued thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to each of us paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said AMERICAN AEROGEL CORPORATION, its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said AMERICAN AEROGEL CORPORATION as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed
our names and affixed our seals on the date(s) indicated
hereinafter.

11-27-01

Date

Donald F. Albert

Donald F. Albert

11-27-01

Date

Greg R. Andrews

Greg R. Andrews

11-27-01

Date

Joseph W. Bruno

Joseph W. Bruno

On this 27 day of November, 2001,
Donald F. Albert (1) personally appeared before me, a
Notary Public in and for State of Connecticut,
and executed the foregoing Assignment and duly
acknowledged to me that such Assignment was executed for
the uses and purposes therein expressed.

Harold M. Rice
Notary Public /JP
EXP 2003

On this 27 day of November, 2001,
Greg R. Andrews (2) personally appeared before me, a
Notary Public in and for the State of Connecticut,
and executed the foregoing Assignment and duly
acknowledged to me that such Assignment was executed for
the uses and purposes therein expressed.


Valerie M. Rice
Notary Public JP
EXP 2003

On this 27 day of November, 2001,
Joseph W. Bruno (3) personally appeared before me, a
Notary Public in and for the State of Connecticut,
and executed the foregoing Assignment and duly
acknowledged to me that such Assignment was executed for
the uses and purposes therein expressed.


Valerie M. Rice
Notary Public JP
EXP 2003

ACKNOWLEDGMENT OF ASSIGNEE:

AMERICAN AEROGEL CORPORATION

By: 
Robert Mendenhall
Chairman

On this 27 day of November, 2001,
Robert Mendenhall personally appeared before me, a
Notary Public in and for the State of Connecticut,
and duly acknowledged the executed Assignment on behalf
of the Assignee.


Notary Public /JP
EXP. 2003